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NORTH CAROLINA'S RESPONSE TO THE DIRECTORATE OF HEALTH CARE STUDIES AND CLINICAL INVESTIGATION'S FINAL REPORT

ASSESSING POWER ANALYSIS APPROACHES FOR THE FORT BRAGG EVALUATION PRÒJECT August 31, 1993

Personally Identifiable
Information Redacted

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North Carolina's Response to the Directorate of Health Care Studies and Clinical Investigation's Final Report

Assessing Power Analysis Approaches for the Fort Bragg Evaluation Project

August 31, 1993

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North Carolina Department of Human Resources Division of Mental Health, Developmental Disabilities and Substance Abuse Services

325 North Salisbury Street ● Raleigh, North Carolina 27603 ● Courier # 56-20-24

James B. Hunt, Jr., Governor C. Robin Britt, Sr., Secretary

September 1, 1993

Michael S. Pedneau, Director (919) 733-7011

Mr. Leo Sleight
US Army Health Services Command
Attn: HSAA-C, Bldg. 2015
Central Contracting Office
Ft. Sam Houston, TX 78234-6000

RE: DAD10-89-C-0013; Fort Bragg Child/Adolescent Mental Health Demonstration Project; Response to the Directorate of Health Care Studies and Clinical Investigation's Report "Assessing Power Analysis Approaches for the Fort Bragg Evaluation Project".

Dear Leo:

We have completed our review of the Army's report prepared by the Directorate of Health Care Studies and Clinical Investigation and entitled "Assessing Power Analysis Approaches for the Fort Bragg Evaluation Project". You will find that the review was completed in two stages. First, Dr. Leonard Bickman of Vanderbilt University, our subcontractor for the evaluation, completed the technical review of the document; and second, I engaged three independent consultants to review both the Army's study and Vanderbilt's response. Given the level of concern expressed in the Army's report about the appropriateness of Vanderbilt's statistical analyses, I believe it to be important that the work of our subcontractor be scrutinized carefully. And given that the evaluation by Vanderbilt University is of the clinical program operated by another of our subcontractors, I think that careful scrutiny is prudent, especially considering the potential use of the findings.

Regarding Vanderbilt University's response, in addition to the technical analysis, they engaged two consultants to review their work. Reports are provided from the following and their curriculum vitae are included:

Mark Lipsey, Ph.D., Professor of Public Policy, Vanderbilt University; and

James Mintz, Ph.D., Professor and Chief of Methodology and Statistical Services Unit, Department of Psychiatry, School of Medicine, University of California at Los Angeles.

You will note that Dr. Lipsey is on the faculty of Vanderbilt University and he has been providing guidance to the project on the issue of power analysis. He is a recognized expert in the field of power analysis, and he is quoted as the author of a respected book on power analysis by the consultant to the Army, Asha Kapadia, who perhaps did not realize his role with the project. Dr. Bickman believed therefore that Dr. Lipsey would be a credible consultant to comment on the report.

On behalf of the State of North Carolina, I chose to engage three independent consultants who could be considered experts in three different aspects of this issue. Reports are provided from the following:

- Edward Brandt, Jr., M.D., Ph.D., Professor and Co-Director, Center for Health Policy, University of Oklahoma;
- Kenneth Land, Ph.D., Professor and Chairman, Department of Sociology, Duke University; and Senior Research Fellow, The IC' Institute, University of Texas at Austin; and
- Roger Straw, Ph.D., Acting Director, Office of Evaluation Extramural Policy, and Review, Center for Mental Health Services, Substance Abuse and Mental Health Services Administration.

You will note that all three are men of distinction, with substantial background in statistics. Dr. Brandt has served in the U.S. Department of Health and Human Services as the Assistant Secretary of Health and the Acting Surgeon General of the U.S. Public Health Service.

You will find absolute consistency in the Vanderbilt reply and the reports provided by the five consultants. None can find any basis for the position expressed in the Army's report and none of the consultants can find any disagreement with Vanderbilt's power analysis. The consultants' responses include varying degrees of criticism for the untenable approach in the Army's report; the most generous was that the authors did not understand the study that they were reviewing; the least generous was that the authors did not understand statistical methods.

Whatever the problems that the authors of the Army's report had that led to the production of such a questionable document, I very much appreciate your wisdom in not basing your decision regarding continuation of the evaluation study on that document. We are pleased that the comparative study of clinical outcomes of children treated in the demonstration project with those treated by traditional means will continue to completion. I anticipate

that the findings will be a substantial contribution to the field of children's mental health and that both the State of North Carolina and the Department of the Army will be proud of the findings.

Sincerely,

Lenore Behar, Ph.D.

Head, Child and Family Services Branch

LB/sw

cc: Mr. James Newman

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INDEX OF APPENDICES

Appendix A.
Letter from Dr. Brandt, Consultant to the State of North Carolina

Appendix B.
Letter from Dr. Land, Consultant to the State of North Carolina

Appendix C. Letter from Dr. Straw, Consultant to the State of North Carolina

Appendix D. Curriculum Vitae of Dr. Brandt

Appendix E. Curriculum Vitae of Dr. Land

Appendix F. Curriculum Vitae of Dr. Straw

Appendix A.

Letter from Dr. Brandt Consultant to the State of North Carolina

EDWARD N. BRANDT, JR., M.D. 805 N.E. 42

OKLAHOMA CITY, OK 73105

August 25, 1993



Dr. Lenore Behar Division of Mental Health Services 325 N. Salisbury, Room 526 Raleigh, NC 27603

Dear Doctor Behar:

I have reviewed the material sent to me on the "Fort Bragg Child and Adolescent Mental Health Demonstration Project" including the consultants to the State, to Vanderbilt University, and to the Army in addition to the power analyses conducted both by the Army and by Vanderbilt.

In my view, the power analysis by Vanderbilt is the better and best describes the actual experimental situation. Drs. Lipsey and Mintz, consultants to Vanderbilt University, have described the reasons quite well. However, let me summarize my major reasons for agreeing with them.

First, I agree that basing the power analysis on a "one-tailed t test" is inappropriate for this study since it would fail to detect worse outcomes. To evaluate health services, one must not only look for better outcomes but for those that are worse as well. Selecting only a onetailed test raises the power for the short term test.

Second, the analysis proposed by Vanderbilt (repeated measures ANOVA) is appropriate for this project since it will utilize all (or at least most) of the data generated in the three "waves", and it is consistent with the project design. Other analyses would not fulfill the latter.

Third, the power analysis performed by Vanderbilt is an accepted and acceptable method for the analysis they propose. Further, I find no flaws in the power analysis performed by the Army. However, the statistical test chosen is not the best, and so the power calculations are not appropriate.

Finally, I find nothing in the letters from Drs. Lipsey and Minsky with which I disagree, and I believe the detail in Dr. Lipsey's letter supports my assertions cited above.

In conclusion, it is my view that the Vanderbilt University statistical method is the most appropriate for the project design and that their power analysis is also appropriate. In my judgement, the project should continue as designed.

Thank you for this opportunity. It is a fascinating project, and should yield important results for providing services to children.

My warm best wishes,

Edward N. Brandt, Jr., M.D., Ph.D.

Co-Director, Center for Health Policy

Professor, Department of Health

Administration and Policy

Appendix B.

Letter from Dr. Land Consultant to the State of North Carolina

Buke Unibersity

DURHAM NORTH CAROLINA 27708-0088

DEPARTMENT OF SOCIOLOGY BOX 90088 TELEPHONE (919) 660-5614 FAX (919) 660-5623

August 24, 1993

Lenore Behar, Ph.D.

Special Assistant for Child & Family Services
Division of Mental Health, Developmental
Disabilities and Substance Abuse Services
North Carolina Department of Human Resources
325 N. Salisbury Street
Raleigh, NC 27603



Dear Dr. Behar:

I now have spent considerable time studying the package of materials you sent to me concerning the power analysis of the evaluation of the Fort Bragg Child and Adolescent Mental Health Demonstration Project. This includes: i) the executive summary of the Demonstration Project, ii) Vanderbilt University's statement of work for the evaluation of the Demonstration Project, iii) Vanderbilt University's power analysis for the evaluation project, iv) the Army critique and alternative analysis (CR93-002), v) Vanderbilt University's response to the Army report, vi) the letter from the Army's consultant (Asha S. 'apadia, Ph.D.) and Vanderbilt University's response to Dr. Kapadia, vii) the letters from consultants to Vanderbilt University (Mark W. Lipsey, Ph.D. and Jim Mintz, Ph.D.), and viii) the letter from the consultant to the State (Roger Straw, Ph.D.).

On the basis of my review and studies of these documents, I have formed a number of conclusions and recommendations:

analysis for judging the adequacy of the two research plans is seriously flawed. The 1-tailed t-test on wave 3 data applied in the Army's analysis would be appropriate if participants had been randomly assigned to experimental and control groups. As the Vanderbilt group points out in more than one document, this would provide assurance that participant characteristics on entrance into the Project were randomized with respect to the treatment program. But participants were not randomly assigned. This opens the possibility that the treatment and control group participants differed before assignment, and, indeed, the Vanderbilt group provides evidence that this is the case with respect to a number of measured variables. What the Vanderbilt group does not emphasize is that it also is possible that the two

groups differed with respect to a number of unmeasured or unobserved variables at intake (in the technical literature, this is called "hidden heterogeneity"). The consequence is that the t-test on wave 3 data confounds the effect of treatment with status at intake. In addition to making impossible a clean causal analysis of the Project data, this very simply and clearly invalidates the simple t-test.

- (2) Secondly, the repeated measures ANOVA design proposed by the Vanderbilt group is an appropriate approach to the statistical analysis of the Project data. Of course, the power analysis conducted on the basis of this design implies that larger numbers of cases are necessary to complete the evaluation project; i.e., that the long-term data collection plan should be adopted. Alternatively, as Vanderbilt consultant Dr. Jim Mintz notes, a Bonferroni adjustment could be applied to two waves of Project data. This effectively would require use of p=.025 rather than .05 in the Army's 1-tailed t-test analysis, which again would point to the need for data on a larger number of Project participants.
- (3) Thirdly, I found most interesting the discussion by Dr. Mark Lipsey of the implications for the analyses of raising the power threshold, i.e., of using a two-tailed alpha of .05 and raising beta to .90. As he indicates, this is very sensible when important, policy-relevant treatment effects are at issue. It also makes even more inadequate the short-term plan.
- (4) Fourth, it seems clear to me that both the statisticians who produced the Army report CR93-002 (Dr. Wojcik, Ms. Stein, and Dr. Optenberg) and the Army's statistical consultant (Dr. Kapadia) either i) did not fully understand that the subjects of the Fort Bragg Project were not randomly assigned to experimental and control groups (as noted in point (1) above), or ii) if they were aware of this, did not understand the implications of it. If these scientists were not fully aware of the nonrandom assignment of Project participants, then this would explain their use of "off the shelf" textbook techniques for calculation of the numbers of subjects required to produce specified levels of statistical power. On the other hand, if these individuals were fully aware and cognizant of the nonrandom assignment, then they failed to appreciate the fact that this would seriously flaw the calculations they produced.
- (5) Fifth, I similarly found much confusion on the part of the Army statisticians and statistical consultant over the use, meaning, and implications of the Vanderbilt Monte Carlo simulation analysis to compute sample sizes (N sizes) necessary

to reach a certain power level. Note that the objective here is to calculate the required N sizes. Under conditions of random assignment of subjects to experimental and control groups, certain textbook formulas can be taken "off the shelf" (e.g., from books authored by Cohen and Lipsey) and applied to the data. Furthermore, because the mathematics in this simple case is relatively tractable, these formulas are what are called "closedform" or "analytic" equations; i.e., one can simply plug the requisite experimental parameters in and compute out an exact number for the required N sizes, as demonstrated in the Army report CR93-002. But a statistician could accomplish the same thing by pretending that the closed-form formulas did not exist and conducting a Monte Carlo simulation analysis to compute the required N sizes. While the N sizes computed in any given simulation analysis of a finite number of ANOVA runs will differ somewhat from the N sizes computed from the textbook formulas, there is an impressive body of probability theory and statistical experience that implies that the simulation results should converge to the "analytic" solution, as the numbers of ANOVA simulation runs increase without bound (i.e., become very large).

Now, what should a statistician do to conduct a power analysis when the experimental situation is such that it is inappropriate to apply the standard closed-form textbook formulas (as in the present case)? Well, in this age of inexpensive and powerful PC or work station computing, one conducts a Monte Carlo simulation analysis that is "appropriate" and "valid" for the situation at hand. This is what the Vanderbilt researchers attempted to do.

In my opinion, the proper Army response should have been to study the extent to which the Vanderbilt simulations reached the goal of being appropriate and valid for the Fort Bragg study. One thing the Army could have asked for, as evidence of validation of the Vanderbilt study, is that the Monte Carlo simulation be capable of reproducing the results obtained from the textbook formulas that are appropriate for a randomized experiment. Interestingly, this replication was provided in the Vanderbilt response to the Army report. While the fact that the Monte Carlo simulation is capable of reproducing the results that can be obtained from application of the textbook formulas does not necessarily imply that the simulation is fully appropriate and valid for the non-textbook situation of the Fort Bragg study, it nevertheless does add some confidence that the simulation is valid and appropriate.

In addition to this, I would have thought it appropriate for the Army perhaps to request that the Vanderbilt team modify certain parameters and assumptions used in the simulation study and produce some alternative simulations to further study the robustness of the simulation results. What the Army report does instead, as Dr. Lipsey notes, is cast aspersions on the Vanderbilt analysis by presenting selected quotes from dated textbooks in operations research and econometrics to the effect that poor simulations yield poor results. This point-of-view completely ignores the simulation revolution that has been sweeping statistics over the past decade or so, as computing power has increased and costs have decreased. the type conducted by the Vanderbilt group, are becoming increasingly common and are allowing statisticians to study situations for which closed-form analytic solutions from standard textbooks are not available or are inappropriate.

(6) In conclusion, on the basis of the analyses and critiques available to me at this time, it seems clear to me that the long-term plan for completing the evaluation is the most appropriate from the perspective of statistical power.

Let me add that, on the basis of evaluation research I have recently conducted on an intervention that similarly required active counseling, I also would favor a longer-term evaluation of the Fort Bragg Project. The reason is that we found some decline in the enthusiasm and involvement of counselors in the later phases of a three-year evaluation project as compared to the earlier phases -- an outcome that we labeled "counselor burnout" and related to the "Hawthorne effect" of classic industrial relations experiments from the 1930s. The consequence for this study was a decline in the treatment effect over time (see the enclosed reprint, "Intensive Supervision of Status Offenders..."). I do not know whether or not there are similar circumstances or opportunities for a decline in enthusiasm or involvement of providers in the Fort Bragg Project, but my preference would be to let the project run sufficiently long so that any such effects would eventuate.

I appreciate the opportunity to comment on this very interesting evaluation project and the exchanges it has generated. If you have any additional questions, please contact me at (919) 660-5615.

Sincerely,

Kenneth C. Land, Ph.D.

John Franklin Crowell Professor and Chairman of Sociology Fellow, American Statistical

Association

Appendix C.

Letter from Dr. Straw Consultant to the State of North Carolina



Substance Abuse and Mental Health Services Administration Rockville MD 20857

July 27, 1993

1116

Lenore Behar, Ph.D.
Head, Child and Family Services
Division of Mental Health, Developmental
Disabilities, and Substance Abuse Services
325 North Salisbury Street
Raleigh, North Carolina 27603

Dear Dr. Behar:

As you requested, I have reviewed the Vanderbilt power analysis, the Army critique and alternate analysis, and the two reviews (Drs. Kapadia and Lipsey) completed prior to the time I was sent the materials. On the narrow question of which group has presented the better case for their preferred data collection strategy, I agree completely with Dr. Lipsey's conclusion that the Army's power analysis is seriously flawed for purposes of judging the adequacy of the two plans and that the Vanderbilt power analysis is more technically appropriate. The critical flaw in the Army's analysis is that their statistical test is inappropriate to the study design; the reasons are clearly explicated in Dr. Lipsey's comments. In addition, the critiques of the Vanderbilt approach by the Army and by Dr. Kapadia are not at all convincing. They do not address the issue of why their proposed analytic approach is more appropriate as a basis for power analysis than is the Vanderbilt approach, nor do they offer an alternative to the Monte Carlo procedure used by Vanderbilt.

On a broader level, the question of whether the primary analysis strategy proposed by the Vanderbilt group maximizes both appropriateness with respect to the presumed structure of the study and potential power is a question for which there is no simple answer. As several of the reports indicate, there are analysis strategies for improving internal validity as well as for improving statistical power that depend on factors such as the reasons for non-equivalency (i.e., selection biases), measurement error, and the regression of pretest scores on post-test scores. Sensitive analysis of the data would be necessary to address these issues. However, the gain, or loss, in statistical power is not likely to be enormous relative to the repeated measures approach proposed by the Vanderbilt group. In addition, these analytic strategies can still be used with the data at the appropriate time and their usefulness tested empirically.

In summary, the long-term plan for completing the evaluation is clearly more appropriate from the perspective of power. The additional data collection is, in my opinion, essential to ensure that the evaluation can detect the hypothesized effect of the "integration" activity, if it exists. If you have any additional questions, please do not hesitate to contact me at (301-443-7883).

Sincerely yours.

Roger Straw, Ph.D. Acting Director

Office of Evaluation, Extramural Policy, and Review

Appendix D.

Curriculum Vitae of Dr. Brandt

CURRICULUM VITAE

EDWARD N. BRANDT, JR., M.D., PH.D. Social Security Number:

Professor and Co-Director
Center for Health Policy
University of Oklahoma Health Sciences Center
P.O. Box 26901
Oklahoma City, Oklahoma 73190
405/271-3230

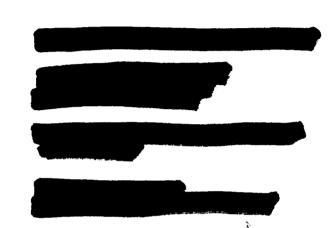
BIOGRAPHICAL

Date and Place of Birth

Marital Status

Children

Home Address



EDUCATION AND DEGREES

| 1954 | B.S. (Mathematics) | The University of Oklahoma Norman, Oklahoma |
|---------|--|--|
| 1955 | M.S. (Mathematics) | Oklahoma State University Stillwater, Oklahoma |
| 1960 | M.D. | The University of Oklahoma Medical Center Oklahoma City, Oklahoma |
| 1960-61 | Internship | Veterans Administration Hospital Oklahoma City, Oklahoma |
| 1961-62 | Residency (5 Months) | The University of Oklahoma Medical Center Oklahoma City, Oklahoma |
| 1963 | Ph.D.(Biostatistics) | The University of Oklahoma Medical Center Oklahoma City, Oklahoma |
| 1984 | Doctor Of Humane Letters (LHD) Honorary | Medical University of South Carolina Charleston, South Carolina |

EDUCATION & DEGREES (cont'd)

1984 Doctor of Science (DSC) New York Institute of Technology Old Westbury, New York Honorary

Doctor of Humane 1985 Rush University of Health Sciences

Letters (LHD) Honorary Chicago, Illinois

PROFESSIONAL EXPERIENCE

Academic Appointments

University of Oklahoma, Norman, Oklahoma 1.

> 1955-56 Special Teaching Assistant, Department of Mathematics 1961-69 Research Associate, Department of Mathematics 1964-69 Assistant Professor, Department of Civil Engineering

2. University of Oklahoma Medical Center, Oklahoma City, Oklahoma

Instructor to Associate Professor, Department of Preventive Medicine, School 1961-67 of Medicine Instructor to Assistant Professor, Department of Medicine, School of Medicine

1967-68 Professor and Chairman, Department of Biostatistics and Epidemiology, School of Health

1967-70 Professor, Department of Preventive Medicine, School of Medicine Associate Professor, Department of Medicine, School of Medicine

Professor, Department of Biostatistics and Epidemiology, School of Health

3. University of Texas Medical Branch at Galveston, Galveston, Texas

1970-84 Professor, Department of Preventive Medicine and Community Health, School of Medicine

1973-84 Professor, Department of Family Medicine, School of Medicine

1970-84 Member, Graduate School of Biomedical Sciences

PROFESSIONAL EXPERIENCE - Academic Appointments (cont'd)

4. University of Maryland at Baltimore

1985-89 Professor, Department of Epidemiology and Preventive Medicine

1985-89 Professor, Department of Family Medicine

5. University of Oklahoma Health Sciences Center

1989- Professor, Department of Internal Medicine, College of Medicine

1989- Adjunct Professor, Department of Family Medicine, College of Medicine

1989-92 Adjunct Professor, Department of Health Administration, College of Public

Health

1989- Adjunct Professor, Department of Biostatistics and Epidemiology, College of

Public Health

1992- Co-Director Center for Health Policy and Professor, Department of Health

Administration and Policy

B. Administrative Appointments

1. University of Oklahoma Medical Center, Oklahoma City, Oklahoma

1962-65 Director, Medical Research Computer Center

1962-67 Director, Biostatistical Unit

1965-68 Director, Computer Operations

1967-68 Assistant to the Vice President for Medical Affairs

1968-70 Associate Director of the Medical Center and Associate

Dean, School of Medicine

2. University of Texas Medical Branch at Galveston, Galveston, Texas

1970-74 Dean, Graduate School

1972-73 Associate Dean for Clinical Affairs, School of Medicine

1973-74 Acting Dean of Medicine

1974-76 Dean of Medicine

1974-77 Chairman, Academic Council

1976-77 Executive Dean

PROFESSIONAL EXPERIENCE - Administrative Appointments (cont'd)

3. University of Texas System Administration, Austin, Texas

1977-81 Vice Chancellor for Health Affairs

4. U.S. Department of Health and Human Services, Washington, D.C.

1981-84 Assistant Secretary for Health

11/4-16, Acting Secretary

1983

3/1-11/1, Acting Surgeon General, U.S. Public Health Service 1981

5. University of Maryland at Baltimore

1985-88 Chancellor

1988-89 President (Title Changed)

6. University of Oklahoma College of Medicine

1989-92 Executive Dean

COMMITTEE AND OTHER RESPONSIBILITIES

A. International

Member (representing United States), Executive Board, World Health Organization (Presidential Appointment)

Served on Program, Drug Policy, and Non-Governmental Organizations Committees

1981-84 U.S. Delegate to Pan American Health Organization

B. National

1962-67 Consultant, Civil Aeromedical Research Institute Aviation Agency, Oklahoma City, Oklahoma

1963-67 Consultant, Medical Research Division, The Upjohn Company, Kalamazoo, Michigan

1968-71 Member, Scientific Awards Committee, American Society of Clinical Pharmacology and Therapeutics

| 1968-71 | Chairman, Advisory Committee Traineeship Program in Biometry, Veterans Administration |
|---------|---|
| 1968-73 | Member, Board of Regents, American Society of Clinical Pharmacology and Therapeutics |
| 1969-70 | Site Visit Consultant, Division of Physician Manpower, National Institutes of Health |
| 1970-77 | Faculty Advisor, National Student Research Forum |
| 1971-72 | Chairman, Committee to Effect the Optimization of Medical Statistical Interaction, American Statistical Association |
| 1972-73 | Member, Special Review Committee, Federal-State-Local Cooperative Health Data System, Health Services and Mental Administration |
| 1973-74 | Associate Editor, CONTINUING EDUCATION FOR THE FAMILY PHYSICIAN |
| 1974-77 | Editor, CONTINUING EDUCATION FOR THE FAMILY PHYSICIAN |
| 1974-81 | Faculty Advisor, National Council on Student Scientific Forums |
| 1975-79 | Member, Primate Research Centers Advisory Committee, Division of Research Resources, National Institutes of Health; Chairman, 1978-79 |
| 1976-77 | Chairman, Southern Region Council of Deans, Association of American Medical Colleges |
| 1976-79 | Member, Warner/Chilcott Residency Award Committee, American Academy of Family Physicians |
| 1977-81 | Member, Advisory Committee on Continuing Medical Education, American Medical Association |
| 1977-79 | Vice Chairman, Section on Medical Schools, American Medical Association |
| 1977-79 | Member, Review Committee, Liaison Committee on Continuing Medical Education |
| 1977-79 | Member, Ad Hoc Committee on Foreign Medical Graduates, American Medical Association |
| 1978-79 | Member, Panel: Computer Technology and Physician Competence, Office of Technology Assessment, Congress of the United States |
| 1979-81 | Chairman, Section on Medical Schools, American Medical Association |

| 1979-80 | Chairman, Committee on Accreditation of Continuing Medical Education, American Medical Association |
|------------|--|
| 1980-81 | Consultant, Commission to Evaluate Foreign Medical Schools, Federation of State Medical Boards |
| 3/81-11/81 | Member, Board of Governors, Uniformed Services University of the Health Sciences |
| 3/81-11/81 | Member, Board of Regents, National Library of Medicine |
| 1981-84 | Member, Board of Governors, American Red Cross (Presidential Appointment) |
| 1981-84 | Member, National Arthritis Board, Department of Health and Human Services |
| 1981-84 | Member, National Diabetes Board, Department of Health and Human Services |
| 1981-84 | Member, National Digestive Disease Board, Department of Health and Human Services |
| 1981-84 | Chairman, Principal Working Group on Health, U.S. Emergency Preparedness Mobilization Board |
| 1981-84 | Member, Federal Coordinating Council on Science, Engineering and Technology, U.S. Government |
| 1981-84 | Member, Working Group on Food Safety, U.S. Cabinet Council on Human Resources |
| 1981-82 | Chairman, Interagency Radiation Research Committee, U.S. Government |
| 1982-84 | Chairman, Interagency Committee on Human Experimentation, U.S. Government |
| 1982-84 | Chairman, Executive Committee on Health Promotion, Department of Health and Human Services |
| 1982-84 | Chairman, Orphan Products Board, Department of Health and Human Services |
| 1982-84 | Chairman, Health Data Advisory Board, Department of Health and Human Services |
| 1983-84 | Chairman Pro-Tem, Agent Orange Working Group, U.S. Cabinet Council on Human Resources |
| 1983-84 | Member, Interagency Risk Management Council, U.S. Government |
| 1984-84 | Member, Working Group on Bio-Technology, U.S. Cabinet Council on Natural Resources |

| 1985-91 | Member, Science Advisory Committee, General Motors Corporation |
|---------|---|
| 1985-92 | Member Advisory Council on Health Promotion, American Council on Life Insurance and Health Insurance Association of America |
| 1985-93 | Member-at-Large, National Board of Medical Examiners |
| 1985-86 | Chairman, Ad Hoc Committee on Science Policy, Association of American Medical Colleges |
| 1985-89 | Member, Board of Regents, National Library of Medicine, Chairman, 1987-89 |
| 1985-86 | Member, Task Force on Physician Manpower American Medical Association |
| 1986-91 | Member, Governing Council, Institute of Medicine/National Academy of Sciences (Executive Committee 1986-87) (Vice Chairman 1987-91) |
| 1986-86 | Member, Nominating and Program Committees, Association of Academic Health Centers |
| 1986-89 | Chairman, Advisory Committee on Graduate Medical Education, U.S. Department of Defense |
| 1986-88 | Member, Board of Directors, National AIDS Network (Vice-Chairman 1987-88) |
| 1986- | Principal, The Center for Excellence in Government |
| 1986-89 | Member, Executive Council, Association of American Medical Colleges |
| 1987-88 | Member, Association of American Medical Colleges - Association Academic Health Centers Forum |
| 1987-90 | Member, Joint Committee on Health Policy, AAU, NASULGC and ACE |
| 1987-91 | Member, Board of Directors, National Leadership Coalition on AIDS, Chairman, 1987-89 |
| 1987-91 | Member, Advisory Council on Hazardous Substances Research and Training, National Institute of Environmental Health Sciences |
| 1987-89 | Member, Research Advisory Council, National Organization for Rare Disorders |
| 1987- | Member, Special Medical Advisory Group, U.S. Veteran's Administration |
| 1988-90 | Chairman, Committee on Implementation of Dietary Guidelines, National Research Council/National Academy of Sciences |

C.

| 1988- | Member and Vice-Chairman, Secretary's Council on Health Promotion, Department of Health and Human Services |
|---------|---|
| 1988- | Member, Board of Trustees, University of Oklahoma College of Medicine Alumni Association (Treasurer, 1993-94) |
| 1988-91 | Editor, AIDS & Public Policy Journal |
| 1988- | Member, Board of Directors, Foundation for Biomedical Research |
| 1989-93 | Chairman, National Advisory Council on Injury Prevention and Control, Centers for Disease Control |
| 1989- | Chairman, Robert Wood Johnson Health Policy Fellowship Board, Institute of Medicine/National Academy of Science |
| 1990-90 | Chairman, Committee on AMA-AHA-AAMC Medical Education Conference |
| 1990- | President and Chairman, Board of Directors, Institute for Science in Society |
| 1991-93 | Chairman Elect and Chairman, Medical Sciences Section, American Association for the Advancement of Science |
| 1991- | Member, Kaiser Foundation Commission on the Future of Medicaid |
| 1991- | Member, Board of Directors, Partnership for Prevention |
| 1992- | Chair, Food Advisory Council, Food and Drug Administration |
| 1992- | Chairman, Health Statistics Forum, Institute of Medicine/National Academy of Sciences |
| State | |
| 1966-68 | Member, Vocational Rehabilitation Advisory Committee, State of Oklahoma |
| 1972-75 | Member, Scientific Program Committee, Texas Academy of Family Physicians |
| 1974-81 | Consultant, Council on Medical Education and Hospitals, Texas Medical Association |
| 1974-81 | Chairman, Task Force on Graduate Medical Education and Teaching Hospitals, Texas Medical Association |
| 1975-81 | Member, Education Committee and Subcommittee on Core Curriculum, Texas Academy of Family Physicians |
| 1976-81 | Chairman, Task Force on Access to Health Care, Texas Medical Association |

| 1979-81 | Chairman, Task Force on Physician Manpower, Texas Medical Association |
|---------|---|
| 1980-81 | Chairman, Medical Education Management Effectiveness Council, Governor, State of Texas |
| 1980-81 | Member, Committee to Enhance Leadership and Organizational Effectiveness, Texas Academy of Family Physicians |
| 1980-81 | Member, Future Planning Committee, Texas Academy of Family Physicians |
| 1985-86 | Chairman, Task Force on AIDS, Governor, State of Maryland |
| 1986-86 | Chairman, Task Force on Geriatrics, Governor, State of Maryland |
| 1986-88 | Vice Chairman, Task Force on Black and Minority Health, Governor, State of Maryland |
| 1986-89 | Member, Committee on Public Health, Medical and Chirurgical Faculty of Maryland |
| 1987-89 | Chairman, AIDS Advisory Council, Governor, State of Maryland |
| 1987-89 | Member, Board of Directors, Central Maryland Chapter, American Red Cross |
| 1987-89 | Member, Committee on Geriatrics and Long-Term Care, Medical and Chirurgical Faculty of Maryland |
| 1989- | Member, Oklahoma State Medical Association Council on Medical Education and Accreditation |
| 1989- | Member, Oklahoma State Medical Association Council on State Legislation and Regulation |
| 1989-90 | Member, Interim Legislative Task Force on State Employee Insurance Program, appointed by President Pro Tempore, Oklahoma Senate |
| 1989-90 | Chairman, Oklahoma Health Organization Study Commission - Gubernatorial Appointment |
| 1990-90 | Member, Ad Hoc Committee on Governance of the Oklahoma Medical Center - Gubernatorial Appointment |
| 1990- | Member, OSMA-OUHSC Liaison Committee (Co-Chair, 1990-92) |
| 1990- | Member, Alzheimer's Disease Research Council (Chair, 1990-92) - Gubernatorial Appointment |
| 1992- | Member, Council on Federal Government Relations Oklahoma State Medical Association |
| | |

| 1993- | Chair, Committee on Domestic Violence, Oklahoma State Medical Association |
|----------|--|
| 1993- | Member, Board of Directors, Oklahoma Medical Political Action Committee |
| 1993- | Elected Member, House of Delegates, Oklahoma State Medical Association |
| 1993- | Chair, Committee on Domestic Violence, Oklahoma State Medical Association |
| 1993- | Vice-Chair, Committee on Violence and Public Health, State of Oklahoma |
| 1993 | Member, Advisory Council, Oklahoma Health Information System, State of Oklahoma |
| 1993- | Member, Advisory Committee on Preventive Services, Oklahoma Department of Health |
| D. Local | |
| 1965-70 | Consultant, Oklahoma Medical Research Foundation, Oklahoma City, Oklahoma |
| 1971-77 | Member, Medical Advisory Board, Galveston County Coordinated Community Clinics |
| 1972-76 | Member, Career Opportunities Scholarship Committee, The Moody Foundation, Galveston, Texas |
| 1973-74 | Secretary, Galveston Chapter, Society of the sigma Xi |
| 1974-75 | President, Texas Alpha Chapter, Alpha Omega Alpha |
| 1974-75 | Member, Program Committee, Galveston County Medical Society |
| 1975-77 | Member, Board of Directors, Houston-Galveston Psychoanalytic Foundation |
| 1975-77 | Member, Board of Trustees, William Temple Foundation, Texas |
| 1975-77 | Member, Scientific Advisory Committee, Houston Gulf Coast Chapter, National Foundation for Ileitis and Colitis |
| 1976-77 | Chairman, Program Committee and Member, Executive Committee Galveston County Medical Society |
| 1979-81 | Member, Committee on Government Relations, Travis County Medical Society |
| 1985-86 | Member, Advisory Committee on Venture Capital, Mayor, City of Baltimore |
| | |

| 1985-89 | Member, Economic Development Committee, Greater Baltimore Committee |
|---------|---|
| 1985-86 | Co-Chairman, Panel on Education, Regional Planning Council |
| 1986-88 | Member, Board of Trustees, Education Center, Shepard and Pratt Hospital |
| 1990-92 | Member, Ex-officio, Board of Trustees, Oklahoma County Medical Society |

MEMBERSHIP IN SOCIETIES

A. Professional

American Association for the Advancement of Science Oklahoma State and American Medical Association Oklahoma and American Academy of Family Physicians

B. Honorary

Phi Eta Sigma

Alpha Epsilon Delta

Phi Kappa Phi

Phi Sigma

Pi Mu Upsilon

Alpha Omega Alpha

Sigma Xi

HONORS

| 19 | Award for Outstanding Paper by an Intern, Oklahoma House Staff Association |
|-----|---|
| 19 | Superior Performance Award, Veterans Administration Hospital, Oklahoma City, Oklahoma |
| 19 | Award for Outstanding Paper in Internal Medicine (co-recipient), Oklahoma House Staff Association |
| 19 | Nineteenth Annual Stoneburner Lecturer, Medical College of Virginia |
| 190 | Second Prize, Scientific Exhibits, American Society of Anesthesiologist (co-recipient) |

HONORS (cont'd)

- 1968 Certificate of Merit, Scientific Exhibits, American Medical Association (co-recipient)
- 1972 An Outstanding Educator of America for 1972
- 1974 Lloyd M. Southwick Memorial Award for best contribution to GP PRESS, Texas Academy of Family Physicians
- 1974 Texas Academy of Family Physicians' Special Award, "In Recognition of Significant Contributions to the Teaching of Family Medicine"
- 1975 The Nicholas and Katherine Leone Award for Administrative Excellence, The University of Texas Medical Branch at Galveston
- 1975 Lloyd M. Southwick Memorial Award for best contribution to GP PRESS, Texas Academy of Family Physicians
- 1975 Award for Meritorious Service, Texas Academy of Family Physicians
- 1975 Selected by Mu Delta Honorary Service Fraternity for dedication of the 1975 SYNDROME (Yearbook of the University of Texas Medical Branch at Galveston)
- 1976 Elected to Membership, Philosophical Society of Texas
- 1977 Outstanding Alumni Service Award "for outstanding contribution in academic medicine", Alumni Association University of Oklahoma College of Medicine (First such award)
- 1977 Distinguished Service Award "for outstanding contribution and dedicated service", The University of Texas Medical Branch at Galveston
- 1978 Award for Meritorious Service, Texas Academy of Family Physicians
- 1979 Elected Distinguished Service Member of the Association of American Medical Colleges
- 1981 Elected to Membership in the Institute of Medicine of the National Academy of Sciences
- 1981 Named "Outstanding Oklahoman" by Oklahoma State Society of Washington D.C.
- 1981 First Annual Lecturer, Thomas N. Lynn, Jr. Lectureship, University of Oklahoma Health Sciences Center
- 1981 Named "Territorial Marshal" by Governor, State of Oklahoma
- 1982 Presented Key to City of Kansas City by Mayor
- 1982 American College of International Physicians Award "in recognition of professional contribution to the promotion of the health of all people and the advancement of medicine"

HONORS (cont'd)

1986

1983 Lecturer, R. Arnold Griswold Lectureship, University of Louisville School of Medicine Bronze Medallion Seal Award, Department of Health and Human Services 1983 Appointed Admiral, Texas Navy, by Governor, State of Texas Distinguished Health Service Award, New York Medical College 1984 1984 Citation in Appreciation of Distinguished Contribution to Public Health, American College of Chest Physicians 1984 Director's Award "for outstanding contributions to the field of Health Statistics". National Center for Health Statistics, U.S. Public Health Service 1984 Presented Key to City of Dallas by Mayor 1984 Harvey Wiley Award, Food and Drug Administration 1984 Distinguished Leadership Award, Department of Health and Human Service (Highest Department Award) Commissioner's Special Citation, Food and Drug Administration 1984 Resolution of Commendation, American Medical Association House of Delegates 1985 Convocation Medalist, American College of Cardiology 1985 Honorary Fellow, American College of Cardiology 1985 Award of Special Recognition, American Council on Transplantation 1985 Annual Recognition Award for "Distinguished Contributions to Health Care", American Association of Physicians for Human Rights 1985 Special Recognition Award for "Outstanding Contributions to Medical Research and Education", Association of American Medical Colleges 1985 Award for Exceptional Achievement in Orphan Products Development, U.S. Public Health Service 1985 Elected Fellow, American College of Medical Informatics 1986 Alpha Omega Alpha Visiting Professor, Texas A&M University

1986 Award for Outstanding Service, National Disaster Medical System, U.S. Government

Keynote Lecturer, National Student Research Forum, Galveston, Texas

HONORS (cont'd)

- 1987 Certificate of Appreciation, Baltimore Federal Executive Board
- 1987 Distinguished Public Service Award, U.S. Department of Defense (Highest Department Award)
- 1987 First Annual Lecturer, Robert M. Bird Society, University of Oklahoma College of Medicine
- 1988 Award for "Distinguished Community Service", Health, Education and Resources Organization, Baltimore, Maryland
- 1988 President's Award, American Association of Public Health Dentistry
- 1989 Resolution of Appreciation, Board of Regents, University of Maryland System
- 1989 Distinguished Alumni Award, Central High School, Oklahoma City, Oklahoma
- 1989 Establishment of Edward N. Brandt, Jr. Award, National Leadership Coalition on AIDS
- 1990 Central High School, "Outstanding Life Achievement Award"
- 1990 Oklahoma County Historical Society Cardinal Service Award for "Distinguished Career in Medicine"
- 1992 Presidential Citation, Oklahoma State Medical Association
- 1992 Public Health Award, American Academy of Family Physicians for "Extraordinary Contributions to Public Health".

LISTINGS

American Men and Women of Science

Who's Who in America

Who's Who in the Southwest

Who's Who in the East

Who's Who in the Frontiers of Science and Technology

MILITARY SERVICE

1981-84 U.S.P.H.S., Assistant Surgeon General, RADM-08

PUBLICATIONS

A. Journal Articles

- 1. Mock, D.C., A.A. Kyriakopoulos, M.L. Clark, J.A. Hagans, E.N. Brandt, Jr., and S.G. Wolf. 1959. "Variability of Diuretic Response as Influenced by the State of the Organism: A Note on the Law of Initial Values." Am. J. Med. Sci. 238:193.
- 2. Brandt, E.N., Jr. 1960. "Medical Statistics: It's Concept and Uses". J. Okla. State Med. Assoc. 53:147.
- 3. Brandt, E.N., Jr. 1962. "Gastric Secretion and the Law of Initial Value". Ann. N.Y. Acad. Sci. 98:1291.
- 4. Brandt, E.N., Jr., J.A. Hagans, and W.W. Schottstaedt. 1962. "The Computer as a Diagnostic Aid in Medicine". J. Okla. State Med. Assoc. 55:213.
- 5. Brandt, E.N., Jr., and P.O. Stephenson. 1962. "Tuberculosis of the Liver". J. Okla. State Med. Assoc. 55:92.
- 6. Brandt, E.N., Jr., and J.D. Welsh. 1962. "The ABO Blood Groups and Muscular Dystrophy". Arch. Neurol. 6:387.
- 7. Mannerberg, F.D., E.N. Brandt, Jr., and M.B. Shook. 1962. "Cholesterol Pleural Effusion". J. Okla. State Med. Assoc. 55:378.
- 8. Welsh, J.D., E.N. Brandt, Jr., and P.T. Condit. 1962. "Effect of Amethopterin on the Visceral Concentration of Co B12 in the Mouse". Proc. Soc. Exp. Biol. Med. 109:734.
- 9. Brandt, E.N., Jr. 1963. "Foundations of Biostatistics". Okla. J. Pub. Health. 7:7.
- 10. Brandt, E.N., Jr. and M.F. Shackleford. 1963. "Electronic Computers in Public Health". Okla. J. Pub. Health. 1:25.
- 11. Hughes, W.L., J.M. Kalbfleisch, E.N. Brandt, Jr. and J.P. Costiloe. 1963. "Myocardial Infarction Prognosis by Discriminant Analysis". Arch. Int. Med. 111:338.
- 12. Ridings, G.R. and E.N. Brandt, Jr. 1963. "Some Aspects of Cancer Registry Procedures at the University of Oklahoma Hospital". J. Okla. State Med. Assoc. 56:431.
- 13. Mannerberg, F.D., J.B. Delashaw and E.N. Brandt, Jr. 1964. "Amebiasis". J. Okla. State Med. Assoc. 57:25.
- 14. Payne, R.W., E.J. Sorenson, T.K. Smalley and E.N. Brandt, Jr. 1964. "Diazepam, Meprobamate, and Placebo in Musculoskeletal Disorders". JAMA. 188:229.

PUBLICATIONS - Journal Articles (cont'd)

- 15. Stout, L.C., J. Morrow, S.G. Wolf and E.N. Brandt, Jr. 1964. "Unusually Low Death Rate from Myocardial Infarctions in Italian-Americans in Pennsylvania". JAMA. 188:845.
- 16. Brandt, E.N., Jr. 1966. "Electrocardiographic Interpretation by Digital Computers". J. Okla. State Med. Assoc. 59:242.
- 17. Brandt, E.N., Jr. 1966. "The Future Effect of the Computer and the Research Scientist on Medical Practice". Med. Coll. Virginia Quart. 2:234.
- 18. Brandt, E.N., Jr. 1966. "Applications of Computers to Medicine". Med. Coll. Virginia Ouart. 2:238.
- 19. Bruhn, J.G., E.N. Brandt, Jr. and M.F. Shackelford. 1966. "Incidence of Treated Mental Illness in Three Pennsylvania Communities". Am. J. Pub. Health. 56:871.
- 20. Hampton, J.W., J. Mantooth, E.N. Brandt, Jr. and S.G. Wolf. 1966. "Plasma Fibrinogen Patterns in Patients with Coronary Atherosclerosis". Circulation. 34:1098.
- 21. Levitt, S.H., C.R. Bogardus, Jr. and E.N. Brandt, Jr. 1966. "Complications and Late Changes Following Radiation Therapy for Carcinoma of the Eyelid and Canthi". Radiology. 87:340.
- 22. Brandt, E.N., Jr. 1967. "What is a Biomedical Computer Center?" Clin. Pharmacol. Ther. 8:158.
- 23. Lynn, T.N., R.C. Duncan, J.P. Naughton, E.N. Brandt, Jr., J. Wulff and S.G. Wolf. 1967. "Prevalence of Prior Myocardial Infarction, Hypertension, Diabetes and Obesity in Three Neighboring Communities in Pennsylvania". Am. J. Med. Sci. 254:385.
- 24. Rogers, C.C., S.H. Levitt, W.C. Crosby and E.N. Brandt, Jr. 1967. "Effect of Hypertension and Arteriosclerosis on Response to Radiation Therapy of Carcinoma of the Cervix". Radiology. 89:733.
- 25. Daniel, W.W., E.N. Brandt, Jr. and J.P. Costiloe. 1968. "The Use of Demographic Characteristics in Predicting Length of Stay in a State Mental Hospital". Am. J. Pub. Health. 58:938.
- 26. Harless, W.G., N.C. Lucas, J.A. Cutter, R.C. Duncan, J.M. White and E.N. Brandt, Jr. 1969. "Computer-Assisted Instruction in Continuing Medical Education". J. Med. Educ. 44:667.
- 27. Reeder, D.D., E.N. Brandt, Jr., L.C. Watson, U. Hjelmquist and J.C. Thompson. 1972. "Pre- and Posthepatic Measurements of the Mass of Endogenous Gastrin". Surgery. 72:34.
- 28. Reeder, D.D., B.M. Jackson, E.N. Brandt, Jr. and J.C. Thompson. 1972. "Rate and Pattern of Disappearance of Exogenous Gastrin in Dogs". Am. J. Physiol. 222:1571.

PUBLICATIONS - Journal Articles (cont'd)

- 29. Hjelmquist, U.B.E., D.D. Reeder, E.N. Brandt, Jr. and J.C. Thompson. 1972. "Effect of the Kidney on Endogenous Gastrin". Surg. Forum. 23:318.
- 30. Thompson, J.C., D.D. Reeder, H.H. Bunchman, H.D. Becker and E.N. Brandt, Jr. 1972. "Effect of Secretin on Circulating Gastrin". Ann Surg. 176:384.
- 31. Clendinning, B.G., D.D. Reeder, E.N. Brandt, Jr. and J.C. Thompson. 1973. "Effect of Nephrectomy on the Rate and Pattern of the Disappearance of Exogenous Gastrin in Dogs". GUT. 14:462.
- 32. Booth, R.A.D., D.D. Reeder, U.B. Hjelmquist, E.N. Brandt, Jr. and J.C. Thompson. 1973. "Renal Inactivation of Endogenous Gastrin in Dogs". Arch. surg. 107:851.
- 33. Brandt, E.N., Jr. 1974. "The Role of the Computer in Continuing Medical Education". Texas Med. 70:43.
- 34. Brandt, E.N., Jr. 1974. "A Proposal for an Individual Continuing Education Program". Cont. Ed. Fam. Phys. 2(May):56.
- 35. Brandt, E.N., Jr. 1974. "An Experiment in Continuing Education for the Annual Meeting of a Professional Society". J. Med. Ed. 49:1189.
- 36. Feinstein, A.R. and E.N. Brandt, Jr. 1974. "New Concepts in Diagnostic Decision-Making". Cont. Ed. Fam. Phys. 2(Nov):45.
- 37. Brandt, E.N., Jr. 1974. "Assessment of Diagnostic Procedures". Cont. Ed. Fam. Phys. 2(Nov):57.
- 38. Brandt, E.N., Jr. 1975. "Preferences of Family Physicians for Subject Matter in Continuing Education Programs". J. Med. Educ. 50:395.
- 39. Brandt, E.N., Jr., C. King, M.A. Cohen, J.M. Worthington and A.D. Dotson. 1975. "SAMA-UTMB National Student Research Forum". Texas Med. 71(4):95.
- 40. Bruhn, J.G. and E.N. Brandt, Jr. 1975. "A Profile of Licensed Medical Graduates in Texas". Texas Med. 71(11):91.
- 41. Brandt, E.N., Jr., E.R. Franks and W.F. Powell. 1975. "Continuing Medical Education: The Annual Meeting of the Texas Academy of Family Physicians". Texas Med. 71(11):100.
- 42. Brandt, E.N., Jr. 1976. "Principles of Epidemiology". Cont. Ed. Fam. Phys. 4(May):66.
- 43. Brandt, E.N., Jr. 1976. "Principles of Education". Cont. Ed. Fam. Phys. 5(Sept):85.
- 44. Brandt, E.N., Jr. 1977. "Physician Supply in Texas-An Analysis". Texas Med. 73(July):68.

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- 45. Brandt, E.N., Jr. and M.A. Thompson. 1978. "Continuing Education and the Written Word". Cont. Ed. Fam. Phys. 9(Oct):88.
- 46. Schottstaedt, W.W., J.G. Bruhn and E.N. Brandt, Jr. 1978. "Improving the Delivery of Health Services in South Texas". Texas Med. 74(Oct):52.
- 47. Brandt, E.N., Jr., F. Holmstrom and W.B. Padgett. 1979. "Admissions to UT Medical Schools, An Analysis: Characteristics of Accepted Applicants". Texas Med. 75(January):87.
- 48. Brandt, E.N., Jr. 1979. "Numbers and Medicine". Cont. Ed. Fam. Phys. 10(January):98.
- 49. Brandt, E.N., Jr., F. Holmstrom and E.L. Fitzsimmons. 1979. "A Study of UTMB Graduates: 1967-76". Texas Med. 75(June):54.
- 50. Stefanu, C., M.L. Pate, M.R. Zetzman, W.W. Goldman, Jr. and E.N. Brandt, Jr. 1980. "Impact of a Family Practice Residency Program on Physician Location in Small Communities". South Med. J. 73:1372.
- 51. Brandt, E.N., Jr. 1981. "The Availability of Residency Positions in Texas". Texas Med. 77(2):63.
- 52. Brandt, E.N., Jr. 1981. "Continuing Medical Eduation: The New Look". Texas Med. 77(June):6
- 53. Brandt, E.N., Jr. 1981. "Banquet Address, Association for Surgical Education". J. Okla. State Med. Assoc. 74:399.
- 54. Brandt, E.N., Jr. 1981. "Washington Report: The New Approach to Health Programs". J. American Dental Assoc. 108:663.
- 55. Brandt, E.N., Jr. 1981. "Block Grants and The Resurgence of Federalism". Public Health Reports. 96:495.
- 56. Brandt, E.N., Jr. 1981. "Redefining the Federal/State Partnership:. Forum. (June):2.
- 57. Brandt, E.N., Jr. 1981. "Medical Care in the 80's-A New Role for Government and Health". J. Tenn. Med. Assoc. 74:645.
- 58. Brandt, E.N., Jr. 1982. "Cutting the Strings". Emergency. 14:20.
- 59. Brandt, E.N., Jr. 1982. "Strength in Diversity: Multisector Support for America's Biomedical Research Programs". Clinical Research. 30:123.
- 60. Brandt, E.N., Jr. 1982. "Medical Professionals and the Government-New Roles for a New Age". Pathologist. 39.359.

PUBLICATIONS - Journal Articles (cont'd)

- 61. Brandt, E.N., Jr. 1982. "Health Planning and the Reagan Administration". Conn. Med. 46:94.
- 62. Brandt, E.N., Jr. 1982. "Prevention Policy and Practice in the 1980s". American Psychologist. 37:1038.
- 63. Brandt, E.N., Jr. 1982. "A Great Potential...An Awesome Burden". Ala. J. Med. Sci. 19:206.
- 64. Brandt, E.N., Jr. 1982. "Prevention as Policy". Public Health Reports. 97:399.
- 65. Brandt, E.N., Jr. 1983. "PHS Perspectives on Misconduct in Science". Public Health Reports. 98:136.
- 66. Brandt, E.N., Jr. 1984. "Technology Issues for the New Physician". Bull. NY Acad. Med. 60:240.
- 67. Brandt, E.N., Jr. 1984 "Infant Mortality-A Progress Report". Public Health Reports. 99:284.
- 68. Brandt, E.N., Jr. 1984. "The Relationship Between the Federal Government and State Licensing Boards". Fed. Bull. Nov:323:
- 69. Brandt, E.N., Jr. and J.M. McGinnis. 1984. "Nutrition Monitoring and Research in the Department of Health and Human Services". Public Health Reports. 99:544.
- 70. Brandt, E.N., Jr. 1984. "Compassionate Pain Relief: Is Heroin the Answer". N. Engl. J. Med. 311:530.
- 71. Brandt, E.N., Jr. 1985. "The Evolving Concept of Health". The New York Medical Quarterly. 5:18.
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- 73. Brandt, E.N., Jr. 1985. "Convocation Address-Science and Society". J. Am. Coll. Card. 5:1503.
- 74. Brandt, E.N., Jr. 1985. "The Future of Graduate Medical Education". J. Okla. St. Med. Assoc. 78:340.
- 75. Brandt, E.N., Jr. 1985. "Health Policy Implications of AIDS:. Ann. Int. Med. 103:771.
- 76. Brandt, E.N., Jr. 1985. "Smoking: The Number One Health Problem". Maryland Med. J. 34:897.

PUBLICATIONS - Journal Articles (cont'd)

- 77. Brandt, E.N., Jr. 1986. "The Establishment of Health Care Policy in the U.S.". J. Okla. St. Med. Assoc. 79:241.
- 78. Brandt, E.N., Jr., W.N. Mayer, J.D. Mason, D.E. Brown, Jr., and L.E. Mahoney. 1985. "Designing a National Disaster Medical System". Public Health Reports. 100:455.
- 79. Brandt, E.N., Jr. 1985. "A Public Health Service Perspective". Am. J. Clin. Nut. 42:892.
- 80. Brandt, E.N., Jr. 1986. "The Physician's Role in Alternative Health Care System". So. Med. J. 79:466.
- 81. Brandt, E.N., Jr. 1986. "Some Thoughts About Government Service". Ga. Acad. Fam. Phys. J. April:4.
- 82. Brandt, E.N., Jr. 1986. "Peer Review: The Attacks From Without". Clin. Res. 34:473.
- 83. Brandt, E.N., Jr. 1986. "Biomedical Science Policy in the United States". Clin. Res. 34:10.
- 84. Brandt, E.N., Jr. 1986. "AIDS: A Modern Epidemic". J. Nat. Assoc. Retail Druggists. 108:7.
- 85. Brandt, E.N., Jr. 1987. "The Governor's Task Force on AIDS". Maryland Med. J. 36:47.
- 86. Brandt, E.N., Jr. 1987. "Indigent Health Care: A Continuing Dilemma". Texas Med. 83:27-31.
- 87. Brandt, E.N., Jr. 1987. "Research Administration in a Time of Change". J. Soc. Res. Admin. 19:5.
- 88. Brandt, E.N., Jr. 1988. "Hard Choices in Public Health". Public Health Reports 103:339.
- 89. Brandt, E.N., Jr. 1989. "Policy Implications of Modeling of the AIDS Epidemic". Statistics In Medicine. 8:137.
- 90. Brandt, E.N., Jr. 1989. "Health Care Workers and AIDS". Maryland Law Review. 48:1
- 91. Brandt, E.N., Jr. 1989. "Medical School Survival Versus Social Responsibility: Finances as a Driving Force". Pharos. 52:7.
- 92. Brandt, E.N., Jr. 1990. "The Evolving Role of the Health Sciences Library in Continuing Education". Bull. Med. Libr. Assoc. 78:157.
- 93. McGinnis, J.M., Richmond, J.B., Brandt, E.N., Jr., et al. 1992. "Health Progress in the United States: Results of the 1990 Objectives for the Nation". J.A.M.A. 268:2545.

B. Editorials

- 1. Brandt, E.N., Jr. 1973. "Leadership in Medicine". GP Press. 24:8.
- 2. Brandt, E.N., Jr. 1973. "What Can Be Done: A Proposal". (Essay in symposium on religion and medicine). University Medical, The University of Texas Medical Branch at Galveston.
- 3. Brandt, E.N., Jr. 1974. "Leadership in Continuing Education". GP Press. 25(2):5.
- 4. Brandt, E.N., Jr. 1974. "Enthusiasm: A Characteristic of Leadership". GP Press. 25(3):5.
- 5. Brandt, E.N., Jr. 1974. "Commentary: The Relationship of Medical Education to Patient Mix". South. Med. J. 67(4):387.
- 6. Brandt, E.N., Jr. 1974. "Teaching Medical Students to be Subjective". GP Press. 25(4):12.
- 7. Brandt, E.N., Jr. 1974. "The Frustration of Leadership". GP Press. 25(6):7.
- 8. Brandt, E.N., Jr. 1975. "Academy for Continuing Medical Education". Texas Family Phys. 26(6):5.
- 9. Brandt, E.N., Jr. 1977. "Expanded Roles for Medical Schools". Texas Med. 73(5):153.
- 10. Brandt, E.N., Jr. 1977. "The Ethics of Leadership". Texas Family Phys. 28(5):9.
- 11. Brandt, E.N., Jr. 1977. "The Concept of Disease". Cont. Ed. Fam. Phys. 7(5):19.
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- 13. Brandt, E.N., Jr. 1978. "Excellence: Can We Tolerate Less?" Cont. Ed. Fam. Phys. 8(6):18.
- 14. Brandt, E.N., Jr. 1979. "Complex Issues Face Medicine Today". Texas Med. 75(May):110.
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- 19. Brandt, E.N., Jr. 1981. "Necessary Steps". Public Health Reports. 97(5):inside cover.

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- 21. Brandt, E.N., Jr. 1982. "Preventing Misconduct in Science". Clinical Research. 30:433.
- 22. Brandt, E.N., Jr. 1982. "The Human Factor in Injury Prevention". Texas Med. 79:6.
- 23. Brandt, E.N., Jr. 1983. "The Public Health Service's Number One Priority". Public Health Reports. 98:306.
- 24. Brandt, E.N., Jr. 1983. "Dialog on the Issues of Prevention Research: A Response to Dr. Krause". Public Health Reports. 98:529.
- 25. Brandt, E.N., Jr. 1984. "The Concentric Effects of the Acquired Immune Deficiency Syndrome". Public Health Reports. 98:529.
- 26. Brandt, E.N., Jr. 1984. "Technology Assessment, A Private-Public Partnership". Public Health Reports. 99:329.
- 27. Brandt, E.N., Jr. 1984. "AIDS Research: Charting New Directions". Public Health Reports. 99:433.
- 28. Brandt, E.N., Jr. 1984. "The CDC Study of Vietnam Veteran's Risk of Fathering Infants with Birth Defects". Public Health Reports. 99:529.
- 29. Brandt, E.N., Jr. and J.M. McGinnes. 1985. "National Children and Youth Fitness Study: Its Contribution to our National Objectives". Public Health Reports. 100:1.
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C. Book Chapters and Monographs

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PUBLICATIONS - Book Chapters & Monographs (cont'd)

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- 3. Harless, W.G., E.N. Brandt, Jr., T.N. Lynn, J.M. White and N.C. Lucas. 1970. "Preclinical Education: CAI at the University of Oklahoma Medical Center". Chapter 3 in L.M. Stolurow, et al., ed., Computer Assisted Instruction in the Health Professions. Entelik, Inc., Newburyport, Massachusetts.
- 4. Thompson, J.C., H.D. Becker, J.C.W. Evans, U.B.E. Hjelmquist, E.N. Brandt, Jr. and D.D. Reeder. 1974. "Studies on the Catabolism of Gastrin". Chapter in W.Y. Chey and F.P. Brooks, eds., Endocrinology of the Gut. Charles B. Slacks, Inc. Thorfare, New Jersey.
- 5. Brandt, E.N., Jr. 1983. "Who Should Do What?" Chapter II in G.J. Brewer, ed., Orphan Drugs and Orphan Diseases. A.E. Liss, New York, New York.
- 6. Brandt, E.N., Jr. 1986. "Government Involvement and the Development of Public Policy in AIDS Research and Reporting". Chapter 3 in Michael D. Witt, ed., AIDS and Patient Management: Legal, Ethical and Social Issues. National Health Publ., Owings Mills, Maryland.
- 7. Brandt, E.N., Jr. 1987. "Smoking and Reproductive Health", Chapter 1 in Smoking and Reproductive Health, PSG Publishing Co., Littleton, Massachusetts.
- 8. Brandt, E.N., Jr. 1987. "AIDS: Social Scientific and Health Policy Perspectives". Chapter 1 in <u>Current Topics in AIDS: Vol. 1</u>. M. Gottlieb, et al., Ed. 1987, John Wiley and Sons, Ltd., London.
- 9. Brandt, E.N., Jr. 1988. "Risk Assessment and Public Policy". Chapter 26 in Epidemiology and Health Risk Assessment. L. Gordis, Ed., Oxford Univ. Press, New York.
- 10. Brandt, E.N., Jr. 1988. "Reflections on a National Effort to Control AIDS:. Chapter 28 in AIDS. Vol 2, V.T. DeVita, Jr., S. Hellman & S. Rosenberg, Eds. J.B. Lippincott Co., Philadelphia.
- 11. Brandt, E.N., Jr. "Federal and State Roles and Policies in Human Resources Development", Human Resources for Health and Defining the Future, Association of Academic Health Centers, 1992.

D. . Editor

- 1. Brandt, E.N., Jr., ed. 1967. "Symposium on Computers in Biomedical Research". Clin. Pharmacol. Ther. (Suppl.) 8:151.
- 2. Brandt, E.N., Jr., ed. 1968. Proceedings of Conference. The Use of Computers in Medical Education. The University of Oklahoma Medical Center, Oklahoma City, Oklahoma.

E. Contributor

- 1. Brandt, E.N., Jr., W.G. Harless and T.N. Lynn. 1967. "Some Experiences with Computer-Aided Instruction in a Medical Center Environment". Proceedings Eighth IBM Medical Symposium. IBM Corp., White Plains, New York.
- 2. Harless, W.G., E.N. Brandt, Jr., and N.C. Lucas. 1968. "A Discussion of the Attributes of the Computer in Programmed Instruction". Proceedings Rochester Conference on Programmed Instruction in Medical Education. Rochester, New York. 121.
- 3. Brandt, E.N., Jr. 1970. "Medical Education--Today's Challenge". Proceedings Tenth IBM Medical Symposium, IBM Corp., White Plains, New York. 155.
- 4. Brandt, E.N., Jr. 1982. "Government's Role in Shaping Health Policy". Proceedings of Anglo-American Conference, University of North Carolina. 5.
- 5. Brandt, E.N., Jr. 1983. "Importance of U.S. Collaboration with the World Health Organization on International Public Health Issues". Proceedings NIAAA-WHO Alcohol Research Seminar, Washington, D.C., 24.
- 6. Brandt, E.N., Jr. 1984. "Foreword". Clinical Laboratory Safety (Rose, Susan L.). J.B. Lippincott Co., Philadelphia.
- 7. Brandt, E.N., Jr. 1984. "Dedication Address, R.A. Block International Cancer Information Cancer Information Center". Cancer Treatment Reports. 68:5.
- 8. Brandt, E.N., Jr. 1985. "Foreword". AIDS: Etiology Diagnosis, Treatment and Promotion, edited by V.T. DeVita, S. Hellman and S.A. Rosenberg, J.B. Lippincott Co., Philadelphia.
- 9. Brandt, E.N., Jr. 1985. "Health Promotion and Disease Prevention". Proceedings Conference on Health Problems of the U.S.-Mexico Border: Gaps in Service and How to Fill Them, University of Texas Medical Branch, El Paso, Texas. 9.
- 10. Brandt, E.N., Jr. (with Dr. Robert Graham). 1985. "The Numbers Game: Madness or Method". Proc. 1985 Ann Meeting, Assoc. Acad. Health Centers: 71.
- 11. Brandt, E.N., Jr. 1987. "Foreword". Closing the Gap, The Burden of Unnecessary Illness, edited by R.W. Amler and H.B. Dull, Oxford University Press, New York.

PUBLICATIONS - Contributor (cont'd)

12. Brandt, E.N., Jr. 1989. "Foreword". Guide to Clinical Preventive Services, Williams & Wilkins, 1989.

F. Reviewer

- 1. Brandt, E.N., Jr. 1966. Book Review. Computers and the Life Sciences (Sterling, Theodore D. and Seymour V. Pollack). JAMA 197:64.
- 2. Brandt, E.N., Jr. 1992. Book Review. The Medical School's Mission and the Population's Health (Kerr L. White and Julia E. Connelly). Academic Medicine 67:828.

As of 8/6/93

Appendix E.

Curriculum Vitae of Dr. Land

CURRICULUM VITA

KENNETH C. LAND

and

CURRENT POSITIONS (Duke University)

John Franklin Crowell Professor and Chairman Department of Sociology 268I Sociology-Psychology Building Duke University Durham, North Carolina 27708-0088 (919) 660-5615 Senior Research Fellow Center for Demographic Studies 2117 Campus Drive Duke University Durham, North Carolina 27706 (919) 684-6126

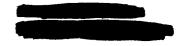
and

Senior Fellow
Center for the Study of Aging
and Human Development
Box 3003
Duke University Medical Center
Durham, North Carolina 27710
(919) 684-6118

CURRENT POSITIONS (University of Texas)

Senior Research Fellow
The IC² Institute
2815 San Gabriel
The University of Texas at Austin
Austin, Texas 78705-3596
(512) 478-4081

DATE AND PLACE OF BIRTH



PROFESSIONAL HONORS

Fellow, American Statistical Association, 1978-present (Elected August, 1978)

Fellow, American Association for the Advancement of Science, 1992-present (Elected February, 1992)

Member, Sociological Research Association, 1981-present (Elected July, 1981) [Membership in the Sociological Research Association is restricted to 150 pre-age 60 members plus approximately 100 members who are age 60 or over.]

Listed in American Men and Women of Science and Who's Who in America

EDUCATION

- B.A., Sociology (major) and History (major), Texas Lutheran College, Seguin, Texas, May, 1964
- M.A., Sociology (major) and Mathematics (minor), The University of Texas at Austin, May, 1966
- Ph.D., Sociology (major) and Mathematics (minor), The University of Texas at Austin, August, 1969
- Postdoctoral Study, Mathematical Statistics, Columbia University, New York, New York, 1969-1970

SELECTED SCHOLARLY HONORS

NIMH Predoctoral Fellow, The University of Texas at Austin, 1965-1966

NDEA Title IV Predoctoral Fellow, The University of Texas at Austin, 1966-1969

Social Science Research Council Postdoctoral Fellow, Columbia University, New York, New York, 1969-1970

Qualified for and became a member of MENSA, April, 1987 [MENSA is an international society in which the sole requirement for qualification for membership is a score at or above the 98th percentile on a standard IQ test.]

PROFESSIONAL EXPERIENCE

Teaching Assistant, Department of Sociology, The University of Texas at Austin, 1964-1965 Research Associate, Russell Sage Foundation, New York, New York, 1969-1970 Mathematical Sociologist, Russell Sage Foundation, New York, New York, 1970-1973 Lecturer, Department of Sociology, University of Wisconsin at Madison, Summer Session, 1971

Lecturer, Department of Sociology, Columbia University, New York, New York, 1970-1973

Associate Professor, Department of Sociology, University of Illinois at Urbana-Champaign, 1973-1976

Director, Social Science Quantitative Laboratory, University of Illinois at Urbana-Champaign, 1974-1981

Professor, Department of Sociology, University of Illinois at Urbana-Champaign, 1976-1981 Professor, Graduate College Statistics Program, University of Illinois at Urbana- Champaign, 1980-1981

Visiting Professor, Department of Sociology, Institute for Advanced Studies, Vienna, Austria, June, 1982

Professor, Department of Sociology, The University of Texas at Austin, 1981-1985 Associate Director, Center for Statistical Sciences, The University of Texas at Austin,

1983-1985

- Director, Social Science Computing Laboratory, The University of Texas at Austin, 1983-1985
- Bonita Granville Wrather Centennial Fellow, The IC² Institute, The University of Texas at Austin, 1983-1985
- Research Associate, Population Research Center, The University of Texas at Austin, 1981-1987
- Senior Research Fellow, The IC² Institute, The University of Texas at Austin, 1986-present Professor and Chairman, Department of Sociology, Duke University, Durham, North Carolina, 1986-present
- John Franklin Crowell Professor of Sociology, Duke University, Durham, North Carolina, 1990-present
- Senior Research Fellow, Center for Demographic Studies, Duke University, Durham, North Carolina, 1986-present
- Senior Fellow, Center for the Study of Aging and Human Development, Duke University, Durham, North Carolina, 1987-present

RESEARCH INTERESTS

Mathematical Sociology/Demography (population mathematics, stochastic models of social processes, models of macro social change); Social Statistics (linear models, path analysis, structural equation systems, time series analysis, sample selection bias methods, methods of evaluation research, techniques of social impact assessment);

Demography (demographic accounting, applications of multistate life tables to schooling, labor force participation, and voting, political demography, trends in nuptiality, fertility, mortality, morbidity); Criminology (criminal opportunity theory, explanations of crime rate trends and distributions, micro-models of criminal careers);

Organizations and Markets (environmental variability and technical efficiency, organizational effectiveness, organizational ecology, consumer satisfaction); Social Trends and Social Forecasts (social indicators, forecasting models, limits to forecasting accuracy)

PROFESSIONAL AFFILIATIONS

American Sociological Association Population Association of America American Society for Criminology

American Statistical Association Econometric Society

International Institute of Forecasters World Future Society

American Public Health Association
Society for the Study of Social Biology
American Association for the Advancement of Science

Southern Sociological Society
Southern Demographic Association
North Carolina Sociological Association
Triangle Area Population Society

PROFESSIONAL ACTIVITIES

Offices Held

- (1) Elected Member, Council of the Methodology Section, American Sociological Association, 1973-1976
- (2) Elected Chairman, Methodology Section, American Sociological Association, 1976-1977
- (3) Elected Publications Officer, Social Statistics Section, American Statistical Association, 1987-1989
- (4) Editor, Newsletter of the Crime, Law and Deviance Section, American Sociological Association, 1989-1992
- (5) Elected Vice-President, Triangle Area Population Society, 1991-1993
- (6) Elected Member, Council of the Methodology Section, American Sociological Association, 1993-1995
- (7) Elected President, Triangle Area Populatin Society, 1993-1995

Committee Service

- (1) Chairman, Methodology Section Nominating Committee, American Sociological Association, 1973-1975
- (2) Member, Samuel Stouffer Award in Methodology Committee, American Sociological Association, 1973-1975

- (3) Sociology Member, Mathematical Social Science Board, 1973-1977. The Board was funded by a grant from the National Science Foundation to organize research conferences, workshops and seminars on applications of mathematics in each of the social sciences. It was administered by the Center for Advanced Study in the Behavioral Sciences, Palo Alto, California through 1977. In January, 1978, it was reconstituted as a Research Planning Committee (the Committee on Mathematics and Social Sciences) of the Social Science Research Council, New York, New York.
- (4) Member, Social Science Research Council Committee on Mathematics and the Social Sciences, 1978-1979
- (5) Member, Social Science Research Council Advisory and Planning Committee on Social Indicators, 1976-1982
- (6) Member, Subcommittee on Public Use Samples, Committee on Population Statistics, Population Association of America, 1978-1980
- (7) Sociology Member, Board of Judges, Best Interdisciplinary Article-Length Manuscript on Consumer Behavior based on a Doctoral Dissertation submitted to the <u>Journal of Consumer Research</u>, Association for Consumer Research, 1980-1981
- (8) Chairman, Social Science Research Council Committee on Social Indicators, 1982-1985
- (9) Member, Advisory Panel, Measurement Methods and Data Improvement Program, Division of Social and Economic Science, National Science Foundation, 1987-1990
- (10) Member, 1991 Annual Meeting Program Committee, Population Association of America, 1990-1991
- (11) Member, 1991 Annual Meeting Program Committee, American Society of Criminology, 1990-1991
- (12) Member, Task Group on Graduate Education (TAGGE), American Sociological Association, 1990-1991
- (13) Chairman, Publications Committee, Crime, Law and Deviance Section, American Sociological Association, 1989-92
- (14) Member, Publications Committee, Crime, Law and Deviance Section, American Sociological Association, 1992-present

- (15) Member, 1994 Annual Meeting Program Committee, Population Association of America, 1993-1994
- (16) Member, Committee on the Status of the Profession, Southern Sociological Society, 1993-present

Sessions Organized (at Meetings of Professional Associations)

- (1) "Social Forecasting and Social Policy," American Sociological Association Annual Meeting, Denver, Colorado, August 20-September 2, 1971
- (2) "Social Indicator Models," American Sociological Association Annual Meeting, San Francisco, California, August 25-29, 1975
- (3) "Methodology Section Day Program," American Sociological Association Annual Meeting, Chicago, Illinois, September 5-9, 1977
- (4) "Multidimensional Mathematical Demography," Population Association of America Annual Meeting, Washington, D.C., March 26-28, 1981
- (5) "Demographic Forecasting Models and Methods," Population Association of American Annual Meeting, Boston, Massachusetts, March 28-30, 1985
- (6) "Social Models and Forecasts," Southern Sociological Society Annual Meeting, Atlanta, Georgia, April 9-12, 1987
- (7) "The Social Causes and Consequences of AIDS," Southern Sociological Society Annual Meeting, Nashville, Tennessee, March 17-20, 1988
- (8) "Applications of Survival Models to Demographic Data," American Statistical Association Annual Meeting, New Orleans, Louisiana, August 22-26, 1988
- (9) "Structure, Crime, and Control," American Society of Criminology Annual Meeting, Reno, Nevada, November 8-12, 1989
- (10) "What Have We Learned from Empirical Applications of Sample Selection-Bias Models?" American Statistical Association Annual Meeting, Atlanta, Georgia, August 18-22, 1991
- (11) "Routine Activities, Socioeconomic Status, and Crime," American Society of Criminology Annual Meeting, Phoenix, Arizona, October 27-30, 1993
- (12) "Advances in Micro-Models of Criminal Careers," American Society of Criminology Annual Meeting, Phoenix, Arizona, October 27-30, 1993

EDITORIAL ACTIVITIES

Guest Editor (with Dennis A. Ahlburg), Special Issue on "Population Forecasts,"

<u>International Journal of Forecasting</u>, Volume 8, Number 3, December, 1992

Guest Editor (with Craig J. Calhoun), Symposium on "Smelser's 'Handbook': An Assessment," Contemporary Sociology: A International Journal of Reviews, Volume 18, Number 4, July, 1989, pp. 475-513

Guest Editor (with Stephen H. Schneider), Special Issue on "Forecasting in the Social and Natural Sciences," Climatic Change: An International Journal Devoted to the Description. Causes and Implications of Climatic Change, Volume 11, Numbers 1/2, August/October, 1987

Advisory Editor, Social Science Quarterly, 1969-present

Associate Editor, Social Science Research, 1971-present

Editorial Board, Social Indicators Research, 1978-present

Editorial Board, Journal of Mathematical Sociology, 1978-present

Editorial Consultant, Series on Quantitative Applications in the Social Sciences, Sage University Papers, Sage Publications, Inc., 1981-present

Series Editor, The Plenum Series on Demographic Methods and Population Analysis, Plenum Publishing Corporation, 1986-present

Associate Editor, Criminology, 1988-present

Associate Editorial Consultant, Journal of Criminal Law and Criminology, 1991-present

Editorial Board, Journal of Quantitative Criminology, 1989-present

Associate Editor, Journal of the American Statistical Association-Applications, 1989-present

Consulting Editor, The Journal of Applied Behavioral Science, 1991-present

Associate Editor, Contemporary Sociology: A Journal of Reviews, 1986-1991

Editorial Review Board, Journal of Marketing Research, 1986-1991

Associate Editor, Mathematical Population Studies, 1986-1990

Editorial Advisory Board, Demography, 1984

Editorial Consultant, Sociological Methodology, 1970-1973, 1977-1982

Advisory Editor, Sociological Methodology, 1974-1976

Associate Editor, Sociological Methods and Research, 1971-1975

Editorial Board, Public Opinion Quarterly, 1973-1982

Editorial Consultant, Sociometry, 1973-1976

Consulting Editor, American Journal of Sociology, 1976-1978

OTHER (OCCASIONAL) REFEREE SERVICES

Research Funding Agencies

National Science Foundation, Division of Social and Economic Science, Sociology Program National Science Foundation, Division of Social and Economic Science, Measurement Methods and Data Improvement Program

National Institute of Mental Health

National Institute of Child Health and Human Development, National Institutes of Health National Institute on Aging, National Institutes of Health

Journals

American Sociological Review
American Journal of Sociology
Sociological Methods and Research
Demography
Social Forces
Social Problems

SELECTED CONSULTING ACTIVITIES

North American Rockwell, Inc., Los Angeles, California, 1970 Booz, Allen Public Administration Services, Inc., Washington, D.C., 1972 Children's Television Workshop, New York, New York, 1973 Economic Council of Canada, Ottawa, Canada, 1973 International Labor Organization, Geneva, Switzerland, 1973 Agency for International Development, Washington, D.C., 1974 UNESCO, Paris, France, 1974, 1978 Abt Associates, Cambridge, Massachusetts, 1974 Bureau of Social Science Research, Inc., Washington, D.C., 1974-1975, 1984 Illinois Bar Association, Springfield, Illinois, 1977 Statistical Research Division, U.S. Bureau of the Census, Washington, D.C., 1979 National Institute on Aging, National Institutes of Health, Bethesda, Maryland, 1980 Demographic Analysis Systems Associates, Inc., Austin, Texas, 1983-1984 Human Relations Area Files, Inc., New Haven, Connecticut, 1986-1988 Impact Assessment, Inc., La Jolla, California, 1987-1991 Klein and Shafer, P.C., Okemos, Michigan, 1989-1990 North Carolina Administrative Office of the Courts, Raleigh, North Carolina, 1988-1991 National Center for Educational Statistics, 1992

FUNDED RESEARCH AND GRANT PARTICIPATION

Research Assistant, Population Research Center, The University of Texas at Austin, 1966-1969

Research Associate on Social Indicator Research Projects with Eleanor B. Sheldon, Russell Sage Foundation, New York, New York, 1969-1973

- Russell Sage Foundation, "Problems in Sociometrics," 1973-1975. <u>Principal Investigator</u>. Total budget: \$36,915.
- University of Illinois at Urbana-Champaign, Research Board, "Social Indicator Models," 1974-1975. Co-Principal Investigator with Marcus Felson (Co-Principal Investigator). Total budget: \$6,500.
- National Science Foundation, Division of Social Sciences, Special Projects and Social Indicators Program, "Demographic Accounting and Social Indicator Models for the United States," 1975-1977. Principal Investigator with Marcus Felson (Co-Principal Investigator) and Robert Schoen (Faculty Associate). Total budget: \$149,900.
- University of Illinois at Urbana-Champaign, Graduate College Program in Law and Society, "A Macro Social Indicator Model of Public Safety and Criminal Justice in the United States: 1947-74," 1975-1976. Co-Principal Investigator with Marcus Felson (Co-Principal Investigator). Total budget: \$3,600.
- United States Army Research Institute for the Behavioral and Social Sciences, "Models and Indicators for Predicting Outcomes of Military Manpower Policies," 1976-1979.

 <u>Co-Principal Investigator</u> with Marcus Felson (Co-Principal Investigator). Total budget: \$68,862.
- National Science Foundation, Division of Social Sciences, Sociology Program, "Employer Effects on Occupational Inequality," 1978-1980. <u>Faculty Associate</u> with Ross M. Stolzenberg (Principal Investigator). Total budget: \$132,795.
- National Institute of Mental Health, Center for Studies of Crime and Delinquency, "Forecasting Models for Index Rates," 1978-1980. Co-Principal Investigator with Marcus Felson (Principal Investigator) and Lawrence E. Cohen (Co-Principal Investigator). Total budget: \$137,007.
- National Science Foundation, Division of Social Sciences, Special Projects and Social Indicators Program, "Demographic Accounting and Macro Social Indicator Models for the United States," 1978-1981. Principal Investigator with Marcus Felson (Co-Principal Investigator), Lawrence E. Cohen (Faculty Associate), Robert Schoen (Faculty Associate), and Ross M. Stolzenberg (Faculty Associate). Total budget: \$149,794.
- National Science Foundation, Division of Social Sciences, Sociology Program, "Cohort Marriage, Divorce, and Fertility in Western Nations," 1980-1981. Faculty Associate with Robert Schoen (Principal Investigator). Total budget: \$91,294.

- National Institute on Aging, "Social Indicators for the Elderly U.S. Population, 1946-79," 1980. General Coordinator with Lawrence E. Cohen (Co-Principal Investigator), James R. Kluegel (Co-Principal Investigator), and Robert Schoen (Co-Principal Investigator). Total budget: \$20,504.
- National Science Foundation, Division of Social and Economic Science, Sociology Program, "Conference on Multidimensional Mathematical Demography," 1980-1981. <u>Principal Investigator</u>. Total budget: \$28,726.
- University of Illinois at Urbana-Champaign, Research Board, "Demographic Accounts for the United States, 1940-80," 1981. Principal Investigator. Total budget: \$2,748.
- National Science Foundation, Division of Social and Economic Science, Measurement Methods and Data Resources Program, "Basic Demographic Accounts for the United States, 1940-80," 1981-1983. Principal Investigator. Total budget: \$79,277.
- National Science Foundation, Division of Social and Economic Science, Measurement Methods and Data Resources Program, "Social-State Nested Demographic Accounts for the United States," 1983-1984. <u>Principal Investigator</u>. Total budget: \$51,220.
- National Science Foundation, Division of Social and Economic Science, Sociology Program, "Explaining Crime in the U.S.: Testing the Ability of Competing Criminogenic Theories to Explain and Forecast Post-World War II Crime Rates," 1983-1984.

 <u>Co-Principal Investigator</u> with Lawrence E. Cohen (Principal Investigator). Total budget: \$54,744.
- The University of Texas at Austin, The University Research Institute, "Social- State Nested Demographic Accounts for the U.S.," 1984. <u>Principal Investigator</u>. Total budget: \$2,202.
- National Science Foundation, Division of Social and Economic Science, Sociology Program, "Testing the Ability of Competing Criminogenic Theories to Explain Crime Rate Trends and Distributions," 1984-1986. <u>Co-Principal Investigator</u> with Lawrence E. Cohen (Principal Investigator). Total budget: \$66,000.
- National Science Foundation, Division of Social and Economic Science, Measurement Methods and Data Improvement Program, "Social-State Nested Demographic Accounts for the United States," 1984-1986. <u>Principal Investigator</u>. Total budget: \$103,822.

- National Science Foundation, Division of Social and Economic Science, Sociology Program, "An Organizational Perspective on Stratification in Higher Education: An Analysis of Change in Graduate Program Effectiveness," 1988. Principal Investigator. Doctoral Dissertation Research Grant for Diane D. Everett. Total budget: \$5,000.
- National Science Foundation, Division of Social and Economic Science, Law and Social Sciences and Sociology Programs, "Structural Covariates of Crime Rates: Studies of Invariance," 1988-1990. Principal Investigator. Total budget: \$75,000.
- National Science Foundation, Division of Social and Economic Science, Sociology Program, "The Historical Transformation of Cultural Institutions," 1989-1991. <u>Co-Principal Investigator</u> with Judith R. Blau (Principal Investigator, University of North Carolina at Chapel Hill). Total budget: \$70,000.
- U.S. Bureau of the Census, Joint Statistical Agreement, "Modeling Cross-Sectional and Longitudinal Age-Wealth Relationships in the SIPP," 1990-1993. <u>Principal Investigator</u>. Total budget: \$46,846.50.
- National Science Foundation, Division of Social and Economic Science, Sociology Program, "Collaborative Research on Organizational Processes in the Production of Culture," 1991-1993. <u>Principal Investigator</u>. Total budget: \$30,050.00.
- National Science Foundation, Division of Social and Economic Science, Law and Social Sciences and Sociology Programs, "Collaborative Research on Micro Models of Criminal Careers," 1992-1995. <u>Principal Investigator</u>. Total budget: \$58,602.00.

PUBLICATIONS

Books

- (1) 1975 Kenneth C. Land and Seymour Spilerman (eds.), Social Indicator Models. New York: Russell Sage Foundation.
- (2) 1981 F. Thomas Juster and Kenneth C. Land (eds.), Social Accounting Systems:

 Essays on the State of the Art. New York: Academic Press, Studies in Population Series.
- (3) 1982 Kenneth C. Land and Andrei Rogers (eds.), <u>Multidimensional Mathematical</u>
 <u>Demography</u>. New York: Academic Press, Studies in Population Series.
- (4) 1987 Kenneth C. Land and Stephen H. Schneider (eds.), <u>Forecasting in the Social and Natural Sciences</u>. Boston: D. Reidel Publishing Company.

Articles and Essays in Refereed Journals

- (5) 1968 Kenneth C. Land, "Principles of Path Analysis," Sociological Methodology, 1969: 1-37.
- (6) 1969 Kenneth C. Land, "Duration of Residence and Prospective Migration: Further Evidence," <u>Demography</u>, 6 (May): 133-140.
- (7) 1970 Kenneth C. Land, "Mathematical Formalization of Durkheim's Theory of Division of Labor," Sociological Methodology, 1970: 257-282.
- (8) 1970 Kenneth C. Land, "On the Estimation of Path Coefficients for Unmeasured Variables from Correlations Among Observed Variables," <u>Social Forces</u> 48 (June): 506-511.
- (9) 1970 Kenneth C. Land, "Path Models of Functional Theories of Social Stratification as Representations of Cultural Beliefs on Stratification," <u>The Sociological Ouarterly</u>, 11 (Fali): 474-484.
- (10) 1971 Kenneth C. Land, "Formal Theory," Sociological Methodology, 1971: 175-200.
- (11) 1971 Kenneth C. Land, "Some Exhaustible Poisson Process Models of Divorce by Marriage Cohort," <u>Journal of Mathematical Sociology</u>, 1 (July): 213-232.
- (12) 1971 Kenneth C. Land, "On the Definition of Social Indicators," The American Sociologist, 6 (November): 322-325.
- (13) 1971 Kenneth C. Land, "Significant Others, the Self-Reflexive Act and the Attitude Formation Process: A Reinterpretation," <u>American Sociological Review</u>, 36 (December): 1085-1098.
- (14) 1972 Eleanor B. Sheldon and Kenneth C. Land, "Social Reporting for the 1970's," Policy Sciences, 3 (Summer): 137-151.
- (15) 1975 Kenneth C. Land, "Theories, Models and Indicators of Social Change," International Social Science Journal, 27 (January): 7-37.
- (16) 1975 Kenneth C. Land, "The Role of Quality of Employment Indicators in General Social Reporting Systems," American Behavioral Scientist, 18 (January-February): 304-322.

- (17) 1976 Kenneth C. Land and Marcus Felson, "A General Framework for Building Dynamic Macro Social Indicator Models: Including an Analysis of Changes in Crime Rates and Police Expenditures," American Journal of Sociology, 82 (November): 565-604.
- (18) 1977 Kenneth C. Land and Fred C. Pampel, "Indicators and Models of Changes in the American Occupational System, 1947-73: Some Preliminary Analyses,"

 <u>Social Indicators Research</u>, 4 (March): 1-23.
- (19) 1977 Kenneth C. Land and Marcus Felson, "A Dynamic Macro Social Indicator Model of Changes in Marriage, Family, and Population in the United States: 1947-74," Social Science Research, 6 (December): 328-362.
- (20) 1977 Fred C. Pampel, Kenneth C. Land, and Marcus Felson, "A Social Indicator Model of Changes in the Occupational Structure of the United States: 1947-74,"

 <u>American Sociological Review</u>, 42 (December): 951-964.
- (21) 1978 Kenneth C. Land and Marcus Felson, "Sensitivity Analysis of Arbitrarily Identified Simultaneous-Equation Models," Sociological Methods and Research, 6 (February): 283-301.
- (22) 1978 Marcus Felson and Kenneth C. Land, "Social, Demographic, and Economic Interrelationships with Educational Trends in the United States, 1947-74,"

 Research in Population Economics: An Annual Compilation of Research, 1: 93-125.
- (23) 1979 Kenneth C. Land, "Modeling Macro Social Change," <u>Sociological Methodology</u>, 1980: 219-278.
- (24) 1979 Robert Schoen and Kenneth C. Land, "A General Algorithm for Estimating a Markov-Generated Increment-Decrement Life Table with Applications to Marital Status Patterns," Journal of the American Statistical Association, 74 (December): 761-776.
- (25) 1980 Kenneth C. Land and Marilyn M. McMillen, "A Macrodynamic Analysis of Changes in Mortality Indexes in the United States, 1947-75: Some Preliminary Results," Social Indicators Research, 7 (January): 1-46.
- (26) 1980 Kenneth C. Land and Fred C. Pampel, "Aggregate Male and Female Labor Force Participation Functions: An Analysis of Structural Differences, 1947-77," Social Science Research, 9 (March): 37-54.

- (27) 1980 M. D. Evans, Marcus Felson, and Kenneth C. Land, "Developing Social Indicator Research on the Military in American Society," Social Indicators Research, 8 (March): 81-102.
- (28) 1980 Kenneth C. Land and Marilyn M. McMillen, "Demographic Data and Social Indicators," Sociology and Social Research, 64 (April): 348-377.
- (29) 1980 Lawrence E. Cohen, Marcus Felson, and Kenneth C. Land, "Property Crime Rates in the United States: A Macrodynamic Analysis, 1947-77, with Ex Ante Forecasts for the Mid-1980's," American Journal of Sociology, 85 (July): 90-118.
- (30) 1981 Kenneth C. Land and Marilyn M. McMillen, "Determinants of Morbidity and Disability Trends in the United States, 1958-77," Social Indicators Research, 9 (September): 313-346.
- (31) 1981 Lawrence E. Cohen, James R. Kluegel, and Kenneth C. Land, "Social Inequality and Predatory Criminal Victimization: An Exposition and Test of a Formal Theory," American Sociological Review, 46 (October): 505-524.
- (32) 1981 Kenneth C. Land, "Identification of Socio-Economic Indicators for Use in Integrated Social and Economic Planning," Socio-Economic Studies, 2: 505-524.
- (33) 1981 Kenneth C. Land, "On the Use of 'Hard' and 'Soft' Methodologies in Sociology," The Sociological Ouarterly, 23: 452-458.
- (34) 1982 Kenneth C. Land, "Social Indicators: Past Developments and Prospects for the Future," <u>Journal of the Institute for Advanced Studies</u>, Vienna, 6 (4): 193-220.
- (35) 1983 Lawrence E. Cohen, James R. Kluegel, and Kenneth C. Land, "Insinuating Informality: A Reply to Breen and Rottman," American Sociological Review, 48 (February): 143-145.
- (36) 1983 Kenneth C. Land, "Social Indicators," Annual Review of Sociology, 9: 1-26.
- (37) 1983 Kenneth C. Land and David Cantor, "ARIMA Models of Seasonal Variation in U.S. Birth and Death Rates," <u>Demography</u>, 20 (November): 541-568.
- (38) 1984 Lawrence E. Cohen and Kenneth C. Land, "Discrepancies Between Crime Reports and Crime Surveys: Urban and Structural Determinants," <u>Criminology</u>, 22 (November): 499-530.

- (39) 1984 Kenneth C. Land, George C. Hough, Jr., and Marilyn M. McMillen, "New Midyear Age-Sex-Color-Specific Estimates of the U.S. Population for the 1940s and 1950s: Including a Revision of Coverage Estimates for the 1940 and 1950 Censuses," <u>Demography</u>, 21 (November): 623-645.
- (40) 1985 David Cantor and Kenneth C. Land, "Unemployment and Crime Rates in the Post-World War II United States: A Theoretical and Empirical Analysis,"

 <u>American Sociological Review</u>, 50 (June): 317-332.
- (41) 1986 Kenneth C. Land and George C. Hough, Jr., "Improving the Accuracy of Intercensal Estimates and Postcensal Projections of the Civilian Noninstitutional Population: A Parameterization of Institutional Prevalence Rates," <u>Journal of the American Statistical Association</u>, 81 (March): 62-74.
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- (3) "Mathematical Formalization of Durkheim's Theory of Division of Labor." Paper presented at the annual meetings of the American Sociological Association, Boston, Massachusetts, August, 1968.
- (4) Panel Member. Session of the Uses of Computers in Sociology. Annual meetings of the Eastern Sociological Society, New York, New York, August, 1970.
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- (29) "The Demographic Approach to Social Indicators," (with Marilyn M. McMillen).

 Luncheon Roundtable Presentation at the annual meetings of the Population Association of America, Atlanta, Georgia, April, 1978.
- (30) "A General Algorithm for Estimating a Markov-Generated Increment- Decrement Life Table with Applications to Marital Status Patterns," (with Robert Schoen). Paper presented at the annual meetings of the Population Association of America, Atlanta, Georgia, April, 1978.
- (31) "Modeling Macro Social Change." Paper presented at the annual meetings of the American Sociological Association, San Francisco, California, August, 1978.
- (32) "Demographic Data and Social Indicators," (with Marilyn M. McMillen). Paper presented at the annual meetings of the American Sociological Association, San Francisco, August, 1978.
- (33) "Demographic and Socioeconomic Determinants of Morbidity and Disability Trends in the United States, 1958-1977," (with Marilyn M. McMillen). Paper presented at the annual meetings of the Population Association of America, Philadelphia, Pennsylvania, April, 1979.

- (34) "Methodological Considerations in the Demographic Approach to Social Accounting," (with Marilyn. M. McMillen). Paper presented at the annual meetings of the American Statistical Association, Washington, DC, August, 1979.
- (35) "Has the Post-World War II Trend in Cancer Mortality Been Up or Down?" (with Marilyn M. McMillen). Roundtable Presentation at the annual meetings of the American Sociological Association, Boston, Massachusetts, August, 1979.
- (36) "On the Use of 'Hard' and 'Soft' Methodologies in Sociology." Paper presented at the annual meetings of the American Sociological Association, Boston, Massachusetts, August, 1979.
- (37) "Property Crime Rates in the United States: A Macrodynamic Analysis, 1947-1977, with Ex Ante Forecasts for the Mid-1980s," (with Lawrence E. Cohen and Marcus Felson). Paper presented at the annual meetings of the American Society of Criminology, Philadelphia, Pennsylvania, November, 1979.
- (38) "Problems in the Development of Demographic Accounts," (with Marilyn M. McMillen). Luncheon Roundtable Presentation at the annual meetings of the Population Association of America, Denver, Colorado, April, 1980.
- (39) "The Demographic Approach to Social Accounting," (with Marilyn M. McMillen). Roundtable Presentation at the annual meetings of the American Sociological Association, New York, New York, August, 1980.
- (40) "Applications of Structural Equation Models in Sociology: What Have We Learned?" (with Joe L. Spaeth). Paper presented at the annual meetings of the American Psychological Association, Montreal, Canada, September, 1980.
- (41) Chair. Session on Multidimensional Mathematical Demography. Annual meetings of the Population Association of America, Washington, DC, March, 1981.
- (42) "On the Use of 'Hard' and 'Soft' Methodologies in Sociology." Paper presented at the annual meetings of the Midwest Sociological Society, Minneapolis, Minnesota, April, 1981.
- (43) Discussant. Session on Time Series Analyses in Criminology. Annual meetings of the American Society of Criminology, Washington, DC, November, 1981.
- (44) "The Demographic Approach to Social Accounting," (with Marilyn M. McMillen). Paper presented at the annual meetings of the Population Association of America, San Diego, California, April, 1982.

- (45) "ARIMA Models of Seasonal Variation in U.S. Birth and Death Rates," (with David Cantor). Paper presented at the annual meetings of the American Sociological Association, San Francisco, California, September, 1982.
- (46) "New Group-Specific Population Estimates for the 1940s and 1950s." Luncheon Roundtable discussion at the annual meetings of the Population Association of America, Pittsbrugh, Pennsylvania, April, 1983.
- (47) Discussant. Session on Quantitative Methods. Annual meetings of the Southwestern Sociological Association, Houston, Texas, March, 1983.
- (48) Panel Member. Session on Structural Equation Modeling in Theory Building: LISREL, Retrospective and Prospective. Annual meetings of the International Communication Association, Dallas, Texas, May, 1983.
- (49) Discussant. Session on Surveys of Criminal Victimization: Methodological Contributions. Annual meetings of the American Society of Criminology, Denver, Colorado, November, 1983.
- (50) "Unemployment and Crime Rates in Post-World War II United States: A Theoretical and Empirical Analysis," (with David Cantor). Paper presented at the annual meetings of the American Society of Criminology, Denver, Colorado, November, 1983.
- (51) "Improving the Accuracy of Postcensal Projections of the Civilian Noninstitutional Population: A Parameterization of Institutional Prevalence Rates," (with George C. Hough, Jr.). Paper presented at the annual meetings of the Population Association of America, Minneapolis, Minnesota, May, 1984.
- (52) "Urban Social Structural Determinants of Discrepancies Between Crime Reports and Crime Surveys," (with Lawrence E. Cohen). Paper presented at the annual meetings of the American Sociological Association, San Antonio, Texas, August, 1984.
- (53) *Explaining Discrepancies Between Crime Reports and Crime Surveys: An Urban Structural Analysis, " (with Lawrence E. Cohen). Paper presented at the annual meetings of the American Society of Criminology, Cincinnati, Ohio, November, 1984.
- (54) Discussant. Session on Longitudinal Analyses of the Employment-Crime Relationship. Annual meetings of the American Society of Criminology, Cincinnati, Ohio, November, 1984.
- (55) "Voting Status Life Tables for the U.S., 1969-1980," (with George C. Hough, Jr.). Paper presented at the annual meetings of the Population Association of America, Boston, Massachusetts, March, 1985.

- (56) Chair. Session on Demographic Forecasting Models and Methods. Annual meetings of the Population Association of America, Boston, Massachusetts, March, 1985.
- (57) "Forecasting in the Social and Natural Sciences," (with Stephen H. Schneider). Paper presented at the annual meetings of the American Association for the Advancement of Science, Los Angeles, California, May, 1985.
- (58) "Voting Status Life Tables for the U.S., 1968-1980," (with George C. Hough, Jr. and Marilyn M. McMillen). Paper presented at the annual meetings of the American Sociological Association, Washington, DC, August, 1985.
- (59) "Day Effects in Total U.S. Suicides: 1975-80," (with Richard McCleary and James A. Mercy). Paper presented at the annual meetings of the American Society of Criminology, San Diego, California, November, 1985.
- (60) "On the Combination of Prevalence Rate and Increment-Decrement Methods for Tables of School Life, with Applications to the 1969-70, 1974-75, and 1979-1980 School Years," (with George C. Hough, Jr.). Paper presented at the annual meetings of the Population Association of American, San Francisco, California, April, 1986.
- (61) Discussant. Session on Demography of Crime and Justice. Annual meetings of the Population Association of America, San Francisco, California, April, 1986.
- (62) Discussant. Session on The Methodologist in Sociology (presentation by Hubert M. Blalocks, Jr.). Annual meetings of the Southern Sociological Society, New Orleans, Louisiana, April, 1986.
- (63) "Age Structure and Crime: Symmetry vs. Asymmetry, and the Projection of Crime Rates Through the 1990's," (with Lawrence E. Cohen). Paper presented at the annual meetings of the American Sociological Association, New York, New York, September, 1986.
- (64) Chair. Session on Social Models and Forecasts. Annual meetings of the Southern Sociological Society, Atlanta, Georgia, April, 1987.
- (65) "On the Accuracy of Social and Demographic Forecasts: Some Lessons from Recent Methodological and Empirical Research." Paper presented at the annual meetings of the Southern Sociological Society, Atlanta, April, 1987.
- (66) "Day Effects in Total U.S. Suicides: 1972-1981," (with Richard McCleary and James A. Mercy). Paper presented at the annual meetings of the Population Association of America, Chicago, Illinois, April, 1987.

- (67) "Recent Advances in the Methodology of School-Life Table Construction," (with George C. Hough, Jr.). Social Statistics Section Roundtable Presentation at the annual meetings of the American Statistics Association, San Francisco, California, August, 1987.
- (68) "On the Technical Efficiency of Capitalist and State Socialist Firms: A Chance-Constrained Activity Analysis," (with David Stark and Sten Thore). Paper presented at the annual meetings of the American Sociological Association, Chicago, Illinois, August, 1987.
- (69) Chair. Session on The Social Causes and Consequences of AIDS. Annual meetings of the Southern Sociological Society, Nashville, Tennessee, March, 1988.
- (70) "Demographic Projections and Futurist Scenarios for AIDS." Panel presentation at the annual meetings of the Southern Sociological Society, Nashville, Tennessee, March, 1988.
- (71) Discussant. Session on Findings from the SIPP: Issues Concerning Income, Household Structure and Marriage. Annual meetings of the Population Association of America, New Orleans, Louisiana, April, 1988.
- (72) Discussant. Session on Social Statistics on the AIDS Epidemic. Annual meetings of the American Statistical Association, New Orleans, Louisiana, August, 1988.
- (73) Chair. Session on Applications of Survival Models to Demographic Data. Annual meetings of the American Statistical Association, New Orleans, Louisiana, August, 1988.
- (74) Discussant. Session on Crime and Deterrance. Annual meetings of the American Sociological Association, Atlanta, Georgia, August, 1988.
- (75) "The Concept of Criminal Opportunity in Criminological Research." Roundtable session paper presented at the annual meetings of the American Society of Criminology, Chicago, Illinois, November, 1988.
- (76) "Trends in Adolescent, Young-Adult, and Elderly Suicide: Are There Common Underlying Structural Factors?" (with Patricia L. McCall). Paper presented at the annual meetings of the Population Association of America, Baltimore, Maryland, March, 1989.
- (77) "Violent Criminal Behavior: Is There a General and Continuing Influence of the South?" (with Patricia L. McCall and Lawrence E. Cohen). Paper presented at the annual meetings of the Southern Sociological Society, Norfolk, Virginia, April, 1989.

- (78) Presider. Session for Address by the President of the American Sociological Association (Joan Huber). Annual meetings of the Southern Sociological Society, Norfolk, Virginia, April, 1989.
- (79) "Measurement Issues in Social Statistics." Paper presented at the ASA-150 Session on The State of Social Statistics at the 150th Anniversary Meeting of the American Statistical Association, Washington, D.C., August, 1989.
- (80) "Demographic Analysis of Voter Turnout." Social Statistics Section Rountable Presentation at the annual meetings of the American Statistical Association, Washington, D.C., August, 1989.
- (81) "Age Structure and Crime: Is There a Connection?" (with Patricia L. McCall and Lawrence E. Cohen). Paper presented in the Plenary Session on Age, Crime, and the Life Course at the annual meetings of the American Sociological Association, San Francisco, Californai, August, 1989.
- (82) Anchor for "I just had breakfast with ..." Breakfast Roundtables Session at the annual meetings of the American Society of Criminology, Reno, Nevada, November, 1989.
- (83) Chair. Session on Structure, Crime, and Control. Annual meetings of the American Society of Criminology, Reno, Nevada, November, 1989.
- (84) Discussant. Session on Demographic Estimates and Projections. Annual meetings of the American Statistical Association, Anaheim, California, August, 1990.
- (85) "Monopolies or Markets? An Analysis of the Effects of Diversity on Church Membership," (with Glenn Deane and Judith R. Blau). Paper presented at the annual meetings of the American Sociological Association, Washington, D.C., August, 1990.
- (86) "Estimating the Effect of Nonresponse in Sample Surveys: An Application of Rubin's Bayesian Method to the Estimation of Community Tolerance for Obscenity," (with Patricia L. McCall). Paper presented at the annual meetings of the American Sociological Association, Washington, D.C., August, 1990.
- (87) "Something that Works in Juvenile Justice: An Evaluation of the North Carolina Court Counselor's Intensive Protective Supervision Randomized Experimental Project," (with Patricia L. McCall and Jay R. Williams). Paper presented at the annual meetings of the American Society of Criminology, Baltimore, Maryland, November, 1990.
- (88) "Applications Clinical Work, Evaluations, Court Testimony." Paper presented at the annual meetings of the Southern Sociological Society, Atlanta, Georgia, April, 1991.

- (89) Panel Member. Session for Chairpersons of Sociology Departments at the annual meetings of the Southern Sociological Society, Atlanta, Georgia, April, 1991.
- (90) Discussant. Session on Handguns and Crime at the annual meetings of the American Statistical Association, Atlanta, Georgia, August, 1991.
- (91) "Estimating the Effect of Nonresponse in Sample Surveys," (with Patricia L. McCall). Paper presented at the annual meetings of the American Statistical Association, Atlanta, Georgia, August, 1991.
- (92) "On the Large-Sample Estimation of Regression Models with Spatial Effects Terms: A Two-Stage Least Squares Approach," (with Glenn Deane). Paper presented at the annual meetings of the American Sociological Association, Cincinnati, Ohio, August, 1991.
- (93) "Religious Participation, 1850-1930: Community, Economy, and Diversity," (with Judith Blau and Kent Redding). Paper presented at the annual meetings of the American Sociological Association, Cincinnati, Ohio, August, 1991.
- (94) "Productive Efficiency Under Capitalism and State Socialism," (with C. A. Knox Lovell and Sten Thore). Paper presented at the 47th Congress of the International Institute of Public Finance, Leningrad, U.S.S.R., August, 1991. The Congress was cancelled because of the Soviet coup d'etat, but the papers that were to be presented still will be published in a proceedings volume.
- (95) "Aging, Disability and Mortality in the Duke Longitudinal Study of the Elderly."

 Presentation at the Fall meeting of the Triangle Area Population Society, Durham,
 North Carolina, November, 1991.
- (96) "Unemployment and Crime Rate Fluctuations in the Post-World War II United States: Statistical Time Series Properties and Alternative Models," (with David Cantor and Stephen T. Russell). Paper presented at the annual meetings of the American Society of Criminology, San Francisco, California, November, 1991.
- (97) Chair. Session on Latent Variable and Contextual Analysis Methods in Criminological Research. Annual Meetings of the American Society of Criminology, San Francisco, California, November, 1991.
- (98) Panel Member. Thematic Session: Can Sociology Survive the Exodus of Sub-Areas? The Case of Criminology at the annual meetings of the Southern Sociological Society, New Orleans, Louisiana, April, 1992.

- (99) "Breast-Feeding and Post-Partum Amenorrhea in a Traditional Society: A Hazards Model Analysis," (with Dilip C. Nath, Kaushalendra Singh, and Pijush K. Talukdar). Paper presented at the annual meetings of the American Statistical Association, Boston, Massachusetts, August, 1992.
- (100) "Ethnocultural Cleavages, Denominations, and the Growth of Church Membership in the United States, 1860-1930," (with Judith R. Blau and Kent Redding). Paper presented at the annual meetings of the American Sociological Association, Pittsburgh, Pennsylvania, August, 1992.
- (101) "Organizing the Boys of Summer: Density Dependence and Population Dynamics in the Evolution of U.S. Minor League Baseball Teams, 1883-1990," (with Walter R. Davis and Judith R. Blau). Paper presented at the annual meetings of the American Sociological Association, Pittsburgh, Pennsylvania, August, 1992.
- (102) "An Evaluation of the North Carolina Court Counselors' Intensive Protective Supervision Experiment for Status Offenders: Results from Logistic and Hazards Regression Analyses," (with Patricia L. McCall and Karen F. Parker). Paper presented at the annual meetings of the American Society of Criminology, New Orleans, Lousiana, November 1992.
- (103) "On the Estimation of Increment-Decrement Life Tables with Multiple Covariates from Panel Data: The Case of Active Life Expectancy," (with Jack M. Guralnik and Dan G. Blazer). Paper presented at the annual meetings of the Population Association of America, Cincinnati, Ohio, April 1993.

SPECIAL CONFERENCES ORGANIZED AND/OR PARTICIPATED IN

- (1) "Formal Theory," Paper presented at the Symposium on Methodology in Sociology sponsored by the Methodology Section of the American Sociological Association. Loyola University, Chicago, Illinois, June 10-11, 1970.
- (2) "Identification. Parameter Estimation, and Hypothesis Testing in Recursive Sociological Models." Paper presented at the SSRC Conference on Structural Equation Models in the Social Sciences directed by Arthur S. Goldberger, University of Wisconsin at Madison, November 12-16, 1970.
- (3) Participant. NSF Conference on Social Indicators, directed by Elliot R. Morss, George Washington University, Washington, DC, November 5-6, 1971.
- (4) "Social Indicator Models: An Overview." Paper presented at the Russell Sage Foundation Conference on Social Indicator Models, co-directed by K.C. Land and S. Spilerman, Russell Sage Foundation, New York, New York, July 12-15, 1972.
- (5) Participant. Conference on Subjective Measures of Quality of Life, directed by Angus Campbell, Institute for Social Research, The University of Michigan, Ann Arbor, Michigan, November 15-16, 1972.
- (6) Participant. SSRC Review Symposium on <u>Social Indicators</u>, <u>1973</u>, directed by Robert Parke, Dulles Marriott Hotel, Reston, Virginia, February 21-23, 1974.
- (7) "The Role of Quality of Employment Indicators in General Social Reporting Systems."

 Paper presented at the Conference on Quality of Employment Indicators, co-directed by Albert D. Biderman and Thomas Drury, Silver Spring, Maryland, April 26-28, 1974.
- (8) "Theories, Models and Indicators of Social Change." Paper presented at the Conference on Social Indicators, directed by Serge Fanchette, UNESCO, Paris, France, May 20-22, 1974.
- (9) "Comparative Statics in Sociology: Including Mathematical Theory of Differentiation in Organizations." Paper presented at the Mathematical Social Science Board Conference on Growth and Differentiation of Organizations, co-directed by Peter Blau and K.C. Land, Arden House, Harriman, New York, May 9-12, 1974.
- (10) Participant. SSRC Conference on Socioeconomic Modeling, directed by Robert Parke, SSRC Center for Coordination of Research on Social Indicators, Washington, DC, October 3-5, 1974.

- (11) "Indicators of Changes in the American Occupational System." Paper presented at the Second Conference on Quality of Employment Indicators, directed by Albert D. Biderman, Bureau of Social Science Research, Inc., Washington, DC, June 20-21, 1975.
- (12) "The Land-Felson Macro Social Indicator Model of the United States, 1947- 74," (with Marcus Felson). Paper presented at the at the Meeting of the Seminar on Global Modeling, directed by Bert G. Hickman, National Bureau of Economic Research Committee on Econometrics and Mathematical Economics, Washington, DC, June 21-22, 1976.
- (13) Participant. Meeting on Materials for Social Reporting, directed by Philip E. Converse, SSRC Center for Coordination of Research on Social Indicators, Washington, DC, September 10, 1976.
- (14) Discussant. Session on the Position of the Disciplines on the Social and Economic Components of Environmental Impact Statements. Seminar on Economic and Social Components of Environmental Impact Statements, directed by Rabel J. Burdge and sponsored by the North Central Regional Strategy Committee on Natural Resources and the Environment and the Farm Foundation, Kansas City, Kansas, october 13-17, 1976.
- (15) Participant. Meeting of the Seminar on Global Modeling, directed by Bert G. Hickman, National Bureau of Economic Research Committee on Econometrics and Mathematical Economics, Washington, DC, March 25-26, 1977.
- (16) Session Chairman. SSRC Conference on the National Longitudinal Surveys, directed by Robert M. Hauser, SSRC Center for Coordination of Research on Social Indicators, Washington, DC, October 14-16, 1977.
- (17) Discussant. Session on Markov Random Fields. Advance Research Symposium on Stochastic Models of Social Structure, co-directed by Samuel Leinhardt and Paul W. Holland and sponsored by the Mathematical Social Science Board, Carnegie-Mellon University, Pittsburgh, Pennsylvania, December 1-2, 1977.
- (18) "The Identification of Socio-Economic Indicators for Use in Integrated Social and Economic Planning." Paper presented at the Conference on Indicators of Social and Economic Changes, directed by R. Ramalinga Iyer, UNESCO, Paris, France, April 10-13, 1978.
- (19) "Notes on Social Indicators and Public Policy: Future Perspectives." Paper presented at the International Conference on the Future of Public Administration, sponsored by the Ecole nationale d'administration publique, Universite du Quebec, Quebec City, Quebec, Canada, May 27-31, 1979.

- (20) "Demographic Accounts and the Study of Social Change, with Applications to Post-World War II United States." Paper presented at the Workshop on Social Accounting Systems: Critique and Assessment of Prospects, co-directed by F. Thomas Juster and Kenneth C. Land and sponsored by the SSRC Center for Coordination of Research on Social Indicators, Washington, DC, March 25-26, 1980.
- (21) Moderator. Session on Data Bases and Non-University Job Opportunities for Health-Care Research. Second Conference on the Clinical Application of the Social Sciences to Health, co-organized by Ann Barry Flood and William C. Cockerham and sponsored by the University of Illinois at Urbana-Champaign, Urbana-Champaign, Illinois, October 16-17, 1980.
- (22) "On the Estimation of Markov-Generated Quadratic-Survival Function Increment-Decrement Life Tables: A Development and Comparison of Demographic and Statistical Methods," (with Robert Schoen). Paper presented at the Conference on Multidimensional Mathematical Demography, co-directed by K.C. Land and Andrei Rogers and sponsored by the National Science Foundation, University of Maryland at College Park, March 23-25, 1981.
- (23) Discussant. Conference on Indicators of Organizational Change, co-directed by Gudmund Hernes and Albert J. Reiss, Jr. and sponsored by SSRC Center for Coordination of Research on Social Indicators, Washington, DC, December 3-4, 1981.
- (24) Participant. Survey of Income and Program Participation (SIPP) Workshop on Longitudinal Issues, chaired by Graham Kalton and sponsored by the Population Division, U.S. Bureau of the Census, Alexandria, Virginia, October 27-28, 1983.
- (25) "Forecasting in the Social and Natural Sciences: Some Isomorphisms," (with Stephen H. Schneider). Paper presented at the Conference on Forecasting in the Social and Natural Sciences, co-directed by Kenneth C. Land and Stephen H. Schneider and sponsored by the Social Science Research Council Committee on Social Indicators, National Center for Atmospheric Research, Boulder, Colorado, June 10-13, 1984.
- (26) "Methods for National Population Forecasts: A Critical Review." Paper presented at the First Annual Research Conference, Bureau of the Census, Sheraton International Conference Center, Reston, Virginia, March 20-23, 1985.
- (27) "Schooling, Labor Force Participation, and Employment Transitions Across the Life Course." Paper presented at the Workshop on Uses of the Survey of Income and Program Participation in Research on Youth, Families, and Aging directed by Martin David and sponsored by the Social Science Research Council, Belmont Conference Center, Elkridge, Maryland, December 1-3, 1985.

- (28) Participant. Oral Health Promotion Workshop: Secondary Data Analysis, chaired by Thomas F. Drury and sponsored by the National Institute of Dental Research, Rockville, Maryland, September 15-16, 1988.
- (29) "Chance-Constrained Efficiency Analysis," (with C. A. Knox Lovell and Sten Thore). Paper presented at the Conference on Parametric and Nonparametric Approaches to Frontier Analysis directed by C. A. Knox Lovell and sponsored by the National Science Foundation, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, September 30-October 1, 1988.
- (30) Participant. Conference on New Uses of DEA in Management, co-directed by Abraham Charnes, William W. Cooper, and Arie Y. Lewin and sponsored by the IC² Institute, the University of Texas at Austin, Austin, Texas, September 27-29, 1989.
- (31) "Evaluation of the North Carolina Court Counselor's Intensive Protective Supervision Project, 1987-91." Paper presented at the 2nd Annual Conference on Evaluating Drug Control Initiatives coordinated by the Criminal Justice Statistics Association, Washington, D.C., July 8-10, 1991.

INVITED PRESENTATIONS AT OTHER UNIVERSITIES

- (1) Colloquium Presentation, Department of Sociology, Cornell University, Ithaca, New York, April, 1971.
- (2) Colloquium Presentation, Department of Sociology, Indiana University, Bloomington, Indiana, April, 1971.
- (3) Workshop Presentation, Department of Sociology and Behavioral Sciences Group, Graduate School of Business, University of Chicago, Chicago, Illinois, April, 1972.
- (4) Colloquium Presentation, Department of Sociology, Indiana University, Bloomington, Indiana, November, 1974.
- (5) Colloquium Presentation, Department of Sociology, The Unversity of Iowa, Iowa City, Iowa, March, 1975.
- (6) Workshop Presentation, School of Public and Urban Policy, University of Pennsylvania, Philadelphia, Pennsylvania, March, 1977.
- (7) Colloquium Presentation, Department of Sociology, The University of Nebraska, Lincoln, Nebraska, March, 1978.
- (8) Colloquium Presentation, Department of Sociology, The University of Texas, Austin, Texas, September, 1979.
- (9) Colloquium Presentation, Department of Sociology, University of Illinois, Chicago Circle, December, 1979.
- (10) Colloquium Presentation, Department of Sociology, Texas A&M University, College Station, Texas, September, 1983.
- (11) Colloquium Presentation, Department of Sociology, University of Minnesota, Minnesota, November, 1984.
- (12) Colloquium Presentation, Department of Sociology, Duke University, Durham, North Carolina, February, 1985.
- (13) Colloquium Presentation, Institute for Research in the Social Sciences, The University of North Carolina at Chapel Hill, March, 1986.
- (14) Lunch Brownbag Presentation, Carolina Population Center, University of North Carolina at Chapel Hill, February, 1989.

Appendix F.

Curriculum Vitae of Dr. Straw

CURRICULUM VITAE

Roger Brian Straw, Ph.D.

PERSONAL INFORMATION:

Address:

Telephone:

Birth date; SSN

EDUCATION:

Hope College, Holland, MI

B.A., cum laude, 1973

The Pennsylvania State University

M.S., 1976

Northwestern University

Ph.D., 1982

PROFESSIONAL EXPERIENCE:

1992-present

Acting Director

Office of Evaluation, Extramural Policy, and Review

Center for Mental Health Services

Substance Abuse and Mental Health Services Administration

Rockville, MD

1990-1992

Associate Director for Research

Office of Programs for the Homeless Mentally III

National Institute of Mental Health

Rockville, MD

1983-1990

Project Manager

Program Evaluation and Methodology Division

United States General Accounting Office

Washington, DC

1982-1983 Regional Health Planner
Piedmont Health Systems Agency
Greensboro, NC

1980-1982 Research and Evaluation Consultant
Winston Salem, NC

1977-1978 Inpatient Aide/Evaluator
Quinco Consulting Center

Columbus, IN

PROFESSIONAL ORGANIZATIONS:

American Evaluation Association

American Public Health Association

SELECTED PUBLICATIONS AND PRESENTATIONS:

GAO reports and testimony

| PEMD-84-6 | An Evaluation of the 1981 AFDC Changes: Initial Results (1 testimony) |
|-------------|---|
| PEMD-85-4 | An Evaluation of the 1981 AFDC Changes: Final Report |
| PEMD-86-10 | Posthospital Care: Efforts to Evaluate Medicare Prospective |
| | Payment Effects Are Insufficient (2 testimonies) |
| PEMD-87-5BR | Posthospital Care: Discharge Planners Report Increasing |
| • | Difficulty in Placing Medicare Patients (2 testimonies) |
| PEMD-88-14 | Medical Devices: FDA's 510(k) Operations Could Be Improved |
| PEMD-90-7 | Medicare: Improvements Needed in the Identification of |
| | Inappropriate Hospital Care |
| PEMD-90-14 | Quality Assurance: A Comprehensive, National Strategy for Health Care is Needed |
| | Meanin Care is needed |

Publications

Scott, R. R. and Straw, R.P. FDA's 510(k) review procedure for medical devices.

Medical Device and Diagnostic Industry, 1990, 12(1), 62-65 and 152-162.

Bernstein, J. and Straw, R.B. Letter to the Editor [Invited] on posthospital care for the elderly. The Pride Institute Journal, 1987, 6(3), 30.

Straw, M.K., Straw, R.B., and others. The Master Questionnaire: Preliminary

- report on an obesity assessment device. Addictive Behaviors, 1984, 9, 1-10.
- Straw, R. B. Deinstitutionalization in Mental Health. In R. Light (Ed.), <u>Evaluation</u>
 <u>Studies Review Annual. Volume 8</u>. Beverly Hills, CA: Sage Publications.
 1983.
- Straw, R. B., Fitzgerald, N. M., Cook, T. D., and Thomas, S. J. The Use of Routine Monitoring Data to Identify Effects and Explore Their Causes. In G. A. Forehand (Ed.), Applications of Time-series Analysis to Evaluation. New Directions in Program Evaluation, 1982, No. 16, 83-97.
- Straw, R.B. Meta-analytic approaches to literature review: Some basic principles. The Behavior Therapist, 1982, 5(2), 62-64.
- Cook, T.D. and Straw, R.B. Quasi-experimentation: An introduction to its priority questions and mechanics. In T.S. Glynn and L.G. Richards (Eds.), Methodological approaches to marijuana policy research. National Institute of Drug Abuse Research Monograph 33. Washington, DC: U.S. Government Printing Office, 1981.
- Shadish, W. R., Straw, R. B., and others. Nursing Home Care for Mental Patients: Descriptive data and some propositions. <u>American Journal of Community</u> Psychology, 1981, 9, 617-633.
- St. Pierre, R. G., Cook, T. D., and Straw, R. B. An Evaluation of the Nutrition Education and Training Program: Findings from Nebraska. <u>Evaluation and Program Planning</u>, 1981, 4, 335-344.
- Straw, R.B., and Cordray, D.S. Tabular comparison of standards for evaluation. In R.F. Boruch and D.S. Cordray (Eds.), An Appraisal of Educational Program Evaluations: Federal, State, and Local Agencies. Evanston, IL: Northwestern University, 1980.
- Ravizza, R.J., Straw, R.B., and Long, P.D. Laminar origin of efferent projections from auditory cortex in the Golden Syrian hamster. <u>Brain Research</u>, 1976, 114, 497-500.

Presentations

- Straw, R.B. Using research to improve programs for the homeless mentally ill. Paper presented at the annual convention of the American Psychological Association, Boston, MA, 1990.
- Straw, R.B. Federal efforts to address the problem of homeless mentally ill persons or individuals with Alcohol or other substance abuse disorders. Invited panel presented at the annual convention of the American Evaluation Association, Washington, DC, 1990.
- Straw, R.B. The evaluation of McKinney Demonstration projects for homeless mentally ill adults. Symposium presented at the annual convention of the American Evaluation Association, Washington, DC, 1990.
- Bernstein, J. and Straw, R. B. Evaluating DRG impacts on long-term care: The federal role. Paper presented at the annual convention of the American Public Health Association, Washington, DC, 1985.
- Shipman, S. and Straw, R. B. An Evaluation of OBRA Effects on the National AFDC Program and on Program Participants in Five Localities. Paper presented at the annual convention of the Evaluation Research Society, San Francisco, 1984.
- Straw, R.B. and Straw, M.K. Further evidence on the reliability and validity of the Revised Master Questionnaire. Paper presented at the annual convention of the Association for the Advancement of Behavior Therapy, Toronto, 1981.
- Straw, R.B. and Straw, M.K. The generalizability of skinfold measures in obesity treatment outcome studies. Paper presented at the annual convention of the Association for the Advancement of Behavior Therapy, New York, 1980.
- Straw, R.B., Straw, M.K., and Craighead, L.W. Psychometric properties of the Master Questionnaire: A cluster analytic study of an obesity assessment device. Paper presented at the annual convention of the Association for the Advancement of Behavior Therapy, San Francisco, 1979.

AWARDS

GAO

Special Commendation Award(5/84)

for extraordinary analytic efforts leading to achievement of a superior and complex report on the 1981 AFDC changes

Special Commendation Award-1985

for exceptional effort in completing the multiple products requested by the Senate Special Committee on Aging

Special Commendation Award-1987

for exemplary management, meeting all milestones on time, completing the job within planned costs and without problems, leading to a quality report on an important problem

Outstanding Achievement Award-1987

for sustained overall outstanding performance and contributions to the mission and goals of the Program Evaluation and Methodology Division

Special Commendation Award-1988

for your outstanding work in the highly detailed medical devices study and your exceptional contributions technically, managerially, and personally

Pay-for-performance bonus-1989

NIMH/ADAMHA

Special Achievement Award (1990, 1991, 1992)

for superior job performance

Administrator's Award for Meritorious Achievement-1991(group award)

in recognition of outstanding national leadership in stimulating research on homeless mentally ill persons and working with other federal, state, and local agencies to address their needs